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2 <draft-ietf-indp-method-00.txt>

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February 29, 2000

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8                    **Internet Printing Protocol/1.1: The INDP Event Notification Delivery Method**

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20    **Abstract**

21    The IPP event notification specification [ipp-ntfy] is an OPTIONAL extension to IPP/1.0 and IPP/1.1. [ipp-  
22    ntfy] requires the definition of one or more delivery methods for dispatching event notification reports to  
23    Notification Recipients. This document describes the semantics and syntax of the 'indp' event notification  
24    delivery method that is itself a request/response protocol. For this delivery method, an IPP Printer sends  
25    (pushes) IPP event Notifications to the Notification Recipients using the IPP Notification Delivery Protocol  
26    (INDP) defined in [indp].

27 The full set of IPP documents includes:

28 Design Goals for an Internet Printing Protocol [RFC2567]

29 Rationale for the Structure and Model and Protocol for the Internet Printing Protocol [RFC2568]

30 Internet Printing Protocol/1.1: Model and Semantics (this document)

31 Internet Printing Protocol/1.1: Encoding and Transport [ipp-pro]

32 Internet Printing Protocol/1.1: Implementer's Guide [ipp-iig]

33 Mapping between LPD and IPP Protocols [RFC2569]

34

35 The "Design Goals for an Internet Printing Protocol" document takes a broad look at distributed printing  
36 functionality, and it enumerates real-life scenarios that help to clarify the features that need to be included in  
37 a printing protocol for the Internet. It identifies requirements for three types of users: end users, operators,  
38 and administrators. It calls out a subset of end user requirements that are satisfied in IPP/1.0. A few  
39 OPTIONAL operator operations have been added to IPP/1.1.

40 The "Rationale for the Structure and Model and Protocol for the Internet Printing Protocol" document  
41 describes IPP from a high level view, defines a roadmap for the various documents that form the suite of IPP  
42 specification documents, and gives background and rationale for the IETF working group's major decisions.

43 The "Internet Printing Protocol/1.1: Encoding and Transport" document is a formal mapping of the abstract  
44 operations and attributes defined in the model document onto HTTP/1.1 [RFC2616]. It defines the  
45 encoding rules for a new Internet MIME media type called "application/ipp". This document also defines  
46 the rules for transporting a message body over HTTP whose Content-Type is "application/ipp". This  
47 document defines a new scheme named 'ipp' for identifying IPP printers and jobs.

48 The "Internet Printing Protocol/1.1: Implementer's Guide" document gives insight and advice to  
49 implementers of IPP clients and IPP objects. It is intended to help them understand IPP/1.1 and some of the  
50 considerations that may assist them in the design of their client and/or IPP object implementations. For  
51 example, a typical order of processing requests is given, including error checking. Motivation for some of  
52 the specification decisions is also included.

53 The "Mapping between LPD and IPP Protocols" document gives some advice to implementers of gateways  
54 between IPP and LPD (Line Printer Daemon) implementations.

55

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76 **1 Introduction**

77 IPP Printers that support the OPTIONAL IPP event notification extension [ipp-ntfy] either a) accept, store,  
 78 and use notification Subscriptions to generate notification reports and implement one or more delivery  
 79 methods for notifying interested parties, or b) support a subset of these tasks and farm out the remaining  
 80 tasks to a Notification Delivery Service. Based on INDP, the 'indp' event notification delivery method  
 81 specified in this document employs a request/response protocol. Its primary intended use is for IPP Printers  
 82 and Notification Delivery Services to send (push) event notifications to Notification Recipients using the  
 83 INDP Send-Notifications operation over HTTP.

84 **2 Terminology**

85 This document uses terms such as "attributes", "keywords", and "support". These terms have special  
 86 meaning and are defined in the model terminology [ipp-mod] section 12.2.

87 Capitalized terms, such as MUST, MUST NOT, REQUIRED, SHOULD, SHOULD NOT, MAY, NEED  
 88 NOT, and OPTIONAL, have special meaning relating to conformance. These terms are defined in [ipp-  
 89 mod] section 12.1 on conformance terminology, most of which is taken from RFC 2119 [RFC2119].

90 This section defines the following additional terms that are used throughout this document:

91 **REQUIRED:** if an implementation supports the extensions described in this document, it **MUST** support  
 92 a **REQUIRED** feature.

93 **OPTIONAL:** if an implementation supports the extensions described in this document, it **MAY** support  
 94 an **OPTIONAL** feature.

95 Event Notification (Notification for short) - See [ip-ntfy]

96 Notification Source - See [ipp-ntfy]

97 Notification Recipient - See [ipp-ntfy]

98 Subscription object - See [ipp-ntfy]

99 Ultimate Notification Recipient - See [ipp-ntfy]

100 **3 Model and Operation**

101 In the IPP Notification Model [ipp-ntfy], one or more Per-Job Subscriptions can be supplied in the Job  
 102 Creation operation or **OPTIONALLY** as subsequent Create-Job-Subscription operations; one Per-Printer  
 103 Subscription can be supplied in the Create-Printer operation. The client that creates these Subscription  
 104 objects becomes the owner of the Subscription object.

105 When creating each Subscription object, the client supplies the "notify-recipient" (uri) attribute. The "notify-  
 106 recipient" attribute specifies both a single Notification Recipient that is to receive the Notifications when  
 107 subsequent events occur and the method for notification delivery that the IPP Printer is to use. For the  
 108 Notification delivery method defined in this document, the notification method is 'indp' and the rest of the

109 URI is the address of the Notification Recipient to which the IPP Printer will send the INDP Send-  
110 Notifications operation.

111 The 'indp' event notification delivery method defined in this document also employs a client/server protocol.  
112 The "client" in this HTTP relationship is the Notification Source described in [ipp-ntfy] while the "server" is  
113 the Notification Recipient. The Notification Source invokes the Send-Notifications operation supported  
114 INDP to communicate IPP event Notification contents to the Notification Recipient. The Notification  
115 Recipient only conveys information to the Notification Source in the form of responses to the operations  
116 initiated by the Notification Source.

117 Notification Sources that implement the 'indp' event notification delivery method will need to include an  
118 INDP client stack (and hence an HTTP client stack) while notification recipients that implement this delivery  
119 method will need to support an INDP server stack (and hence an HTTP server stack). See section 6 for  
120 more details.

## 121 **4 Notification Operations**

122 The Notification Source composes the information defined for an IPP Notification [ipp-ntfy] and sends it  
123 using the Sent-Notifications operation to the Notification Recipient supplied in the Subscription object.

124 INDP makes extensive use of the operations model defined by IPP [rfc2566]. This includes, the use of a  
125 URI as the identifier for the target of each operation, the inclusion of a version number, operation-id, and  
126 request-id in each request, and the definition of attribute groups. The Send-Notifications operation uses the  
127 Operation Attributes group, but currently has no need for the Unsupported Attributes, Printer Object  
128 Attributes, and Job-Object Attributes groups. However, it uses a new attribute group, the Notification  
129 Attributes group (see [indp]).

### 130 **4.1 Send-Notifications Operation**

131 This REQUIRED operation allows a Notification Source to send one or more Notifications to a Notification  
132 Recipient using HTTP. The operation has been tailored to accommodate the current definition of IPP  
133 Notification [ipp-ntfy].

134 Both Machine-Consumable and Human-Consumable notifications may be sent to a Notification Recipient  
135 through this operation.

#### 136 **4.1.1 Send-Notifications Request**

137 The following groups of attributes are part of the Send-Notifications Request:

138 Group 1: Operation Attributes

139 Natural Language and Character Set:

140 The "attributes-charset" and "attributes-natural-language" attributes ads defined in [rfc 2566]  
141 section 3.1.4.1.

142

143 Target:

144 The URI of the 'indp' Notification Recipient.

145 Group 2 to N: Notification Attributes

146 "human-readable-report" (text)

147 The 'indp' Notification Source **OPTIONALLY** supports this attribute. This attribute is a text string  
148 generated by the IPP printer or Notification Delivery Service from the contents of the IPP  
149 Notification suitable for human consumption. If the Notification Source supports this attribute, it  
150 **MUST** supply this attribute if the Subscription object contains the "notify-text-format"  
151 (mimeMediaType) attribute. The text value of this attribute **MUST** be localized in the charset  
152 identified by the "notify-charset" (charset) attribute and the natural language identified by the notify-  
153 natural-language" (naturalLanguage) attribute supplied in the associated Subscription object that  
154 generates this event Notification. The format of the text value is specified by the value of the  
155 "notify-text-format" (mimeMediaType) supplied in the associated Subscription object.

156

157 "human-readable-report-format" (mime)

158 This attribute **MUST** be supplied by the Notification Source whenever the "human-readable-report"  
159 attribute is present. It indicates the format, e.g., text/plain, text/html, etc. of the "human-readable-  
160 report" attribute.

161

162 All of the **REQUIRED** attributes and any of the **OPTIONAL** attributes indicated in [ipp-ntfy] for a Push  
163 event Notification, including "notify-text-format-type" (mimeMediaType), if the "human-readable-  
164 report" (text) attribute is included, so that the Notification Recipient will know the text format of the  
165 "human-readable-report" (text) attribute value.

166

167 These attributes communicate the same information as the notification attributes by the same name  
168 described in sections 7.4, 7.5, and 7.6 of [ipp-ntfy]. The rules that govern when each individual attribute  
**MUST** or **MAY** be included in this operation precisely mirror those specified in [ipp-ntfy].

169 **4.1.2 Send-Notifications Response**

170 The 'indp' Notification Recipient returns a status code for the entire operation and one for each Notification  
171 Report in the request if the operation's status code is other than "success-ok". If the 'ipp-notify-send'  
172 notification listener receives a Notification report that it can't pair up with a subscription it knows about, it  
173 can return an error status-code to indicate that events associated with that subscription should no longer be  
174 sent to it.

175 Group 1: Operation Attributes

176 Natural Language and Character Set:

177 The "attributes-charset" and "attributes-natural-language" attributes are defined in [rfc 2566] section  
178 3.1.4.1.

179 Group 2 to N: Notification Attributes

180 "notification-report-status-code" (type2 enum)  
181 Indicates whether the 'ipp-notify-send' Notification Recipient was able to consume the n-th  
182 Notification Report.

## 183 4.2 Notification Protocol URI Scheme

184 The 'indp' event notification delivery method uses the 'indp://' URI scheme in the "notify-recipients" attribute  
185 in the Subscription object in order to indicate the event notification delivery method defined in this  
186 document. The remainder of the URI indicates the host and address of the Notification Recipient that is to  
187 receive the Send-Notification operation.

## 188 5 Encoding of the Operation Layer

189 The 'indp' event notification delivery method uses the INDP operation layer encoding described in [indp].

## 190 6 Encoding of Transport Layer

191 The 'indp' event notification delivery method uses the INDP transport layer encoding described in [indp].

192 It is REQUIRED that an 'indp' Notification Recipient implementation support HTTP over the IANA  
193 assigned Well Known Port XXX (the INDP default port), though a notification recipient implementation  
194 MAY support HTTP over some other port as well.

## 195 7 IANA Considerations

196 The 'indp://' URL scheme and the IDNP default port will be registered with IANA.

## 197 8 Internationalization Considerations

198 When the client requests Human Consumable form by supplying the "notify-text-format" operation attribute  
199 (see [ipp-ntfy]), the IPP Printer (or any Notification Service that the IPP Printer might be configured to use)  
200 supplies and localizes the text value of the "human-readable-report" attribute in the Notification according to  
201 the charset and natural language requested in the notification subscription.

## 202 9 Security Considerations

203 The IPP Model and Semantics document [ipp-mod] discusses high level security requirements (Client  
204 Authentication, Server Authentication and Operation Privacy). Client Authentication is the mechanism by  
205 which the client proves its identity to the server in a secure manner. Server Authentication is the mechanism  
206 by which the server proves its identity to the client in a secure manner. Operation Privacy is defined as a  
207 mechanism for protecting operations from eavesdropping.

208 The Notification Recipient can cancel unwanted Subscriptions created by other parties without having to be  
 209 the owner of the subscription by returning the 'successful-ok-but-cancel-subscription' status code in the  
 210 Send-Notifications response returned to the Notification Source.

## 211 9.1 Security Conformance

212 Notification Sources (client) MAY support Digest Authentication [rfc2617]. If Digest Authentication is  
 213 supported, then MD5 and MD5-sess MUST be supported, but the Message Integrity feature NEED NOT be  
 214 supported.

215 Notification Recipient (server) MAY support Digest Authentication [rfc2617]. If Digest Authentication is  
 216 supported, then MD5 and MD5-sess MUST be supported, but the Message Integrity feature NEED NOT be  
 217 supported.

218 Notification Recipients MAY support TLS for client authentication, server authentication and operation  
 219 privacy. If a notification recipient supports TLS, it MUST support the  
 220 TLS\_DHE\_DSS\_WITH\_3DES\_EDE\_CBC\_SHA cipher suite as mandated by RFC 2246 [rfc2246]. All  
 221 other cipher suites are OPTIONAL. Notification recipients MAY support Basic Authentication (described in  
 222 HTTP/1.1 [rfc2616]) for client authentication if the channel is secure. TLS with the above mandated cipher  
 223 suite can provide such a secure channel.

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