

1 **Subj: Event notifications for the IPP print protocol [and JMP]**

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5  
6 *There are several issues indicated in the document that we should cover at the*  
7 *upcoming meeting, as well as review the proposal. See color highlighting. [Items in*  
8 *square brackets relate to the PWG JMP MIB trapping and will be removed when this*  
9 *document is made into an IPP Internet-Draft.]*

10 **1 Introduction**

11 In IPP/1.0, the user can determine what is happening to submitted jobs by using the Get-  
12 Attributes and Get-Jobs operations to poll for results. This document describes an  
13 OPTIONAL extension to the IPP/1.0 Model document for subscribing for event  
14 notifications using IPP, but which are delivered over some other protocol. See the IPP  
15 Notification Requirements document [req] for further details. See also "General Event  
16 Notification Architecture Base [cohen] for terminology and framework.

17 IPP is considering the definition and use of event notifications (see terminology section)  
18 for two main purposes. First, when used to achieve printing over a wide area network, or  
19 the Internet, the end-user experience is similar to today's FAX paradigm, so we want to  
20 provide notification that the job has completed successfully (or not). This notification  
21 may traverse the Internet as an e-mail message or end up on someone's pager. Second,  
22 and more widely, when used as a standard LAN print submission protocol (i.e., LPR  
23 replacement), the end-user will have the desire and opportunity for a much more dynamic  
24 interaction with the printer and the print job. Here, notification should consist of a local  
25 area network messaging scheme that addresses unsolicited events related to the printer,  
26 the job's position in the server or printer queue, start of processing, printing progress and  
27 job completion, including forms of cancellation. This paper proposes MANDATORY  
28 IPP attributes to be used for both purposes, and OPTIONAL attributes and values that are  
29 appropriate only for one or the other.

30 [The notification events and content are also intended to apply to the PWG Job  
31 Monitoring MIB (JMP). See sections 5.1.1.2 and 8.]

32

33 **1.1 Summary of the proposal**

34 This paper proposes the following:

- 35 1. One OPTIONAL "job-notify" Operation attribute for use with the Print-Job, Print-  
 36 URI, and Create-Job operation. The "job-notify" Operation attribute has an attribute  
 37 syntax of '1setOf collection' so that the client can request different events for different  
 38 notification recipients for the same job. Each collection value SHALL contain the  
 39 "job-notify-recipients" and MAY contain any of the following remaining member  
 40 attributes with the indicated syntax and support by the IPP object if it supports the  
 41 "job-notify" Operation attribute at all:

42 Member attribute name	syntax	in request	support
43 -----	-----	-----	-----
44 "job-notify-event-groups"	1setOf type2 keyword	MAY	mandatory
45 "job-notify-recipients"	1setOf uri	SHALL	mandatory
46 "job-notify-content-type"	mimeMediaType	MAY	mandatory
47 "job-notify-charset"	charset	MAY	mandatory
48 "job-notify-natural-language"	naturalLanguage	MAY	optional
49 "job-notify-additional-attributes"	1setOf keyword	MAY	optional

- 50
- 51 2. One "job-notify" Job Description attribute which is populated with the supplied  
 52 Operation attribute.
- 53 3. Six "xxx-supported" Printer object attributes that correspond to these six member  
 54 attributes. See the IPP Model for the semantics of xxx-supported Printer attributes.
- 55 4. Two new Register-For-Event-Notifications and Un-Register-For-Event-Notifications  
 56 operations on the Printer object. These operations are intended for  
 57 operator/administrators and servers for long term registration for Printer object events  
 58 that are independent of job submission. The servers may be involved with (1) job  
 59 submission to IPP Printer objects and/or (2) collecting accounting data using the  
 60 event notification mechanism. **ISSUE: Ok to add these two new notification**  
 61 **operations as discussed on the telecon?**

## 62 2 Terminology

63 It is necessary to define a set of terms in order to be able to clearly express the  
64 requirements for notification services in an IPP System. These terms are from the  
65 requirements document [req]. Cohen [cohen] has similar terminology, with some  
66 differences. **ISSUE: Which terminology should we use?**

### 67 2.1 Job Submitting End User

68 A human end user who submits a print job to an IPP Printer. This person may or may not  
69 be within the same security domain as the Printer. This person may or may not be  
70 geographically near the printer.

### 71 2.2 Job Submitting Application

72 An application (for example a batch application), acting on behalf of an end user, which  
73 submits a print job to an IPP Printer. The application may or may not be within the same  
74 security domain as the Printer. This application may or may not be geographically near  
75 the printer.

### 76 2.3 Security Domain

77 For the purposes of this discussion, the set of network components which can  
78 communicate without going through a proxy or firewall. A security domain may be  
79 geographically very large, for example - anyplace within IBM.COM.

### 80 2.4 IPP Client

81 The software component on the client system which implements the IPP protocol. 65

### 82 2.5 Job Recipient

83 A human who is the ultimate consumer of the print job. In many cases this will be the  
84 same person as the Job Submitting End User, but this need not always be the case. For  
85 example, if I use IPP to print a document on a printer in a business partner's office, I am  
86 the Job Submitting End User, while the person I intend the document for in my business  
87 partner's office is the Job Recipient. Since one of the goals of IPP is to be able to print  
88 near the ultimate recipient of the printed output, we would normally expect the Job  
89 Recipient to be in the same security domain as, and geographically near the Printer.  
90 However, this may not always be the case. For example, I submit a print job across the  
91 Internet to a Kinko's print shop. I am both the Submitting end User and the Job  
92 Recipient, but I am neither near nor in the same security domain as the Printer.

### 93 2.6 Job Recipient Proxy

94 A person acting on behalf of the Job Recipient. In particular, the Job Recipient Proxy  
95 physically picks up the printed document from the Printer, if the Job Recipient cannot  
96 perform that function. The Proxy is **by definition** geographically near and in the same  
97 security domain as the printer. For example, I submit a print job from home to be printed  
98 on a printer at work. I'd like my secretary to pick up the print job and put it on my desk.

99 In this case, I am acting as both Job Submitting End User and Job Recipient. My  
100 secretary is acting as a Job Recipient Proxy. An issue that needs to be considered in the  
101 notification architecture is the impact of a third party receiving many unwanted  
102 notifications.

### 103 **2.7 Notification Recipient**

104 Any of: Job Submitting End User, Job Submitting Application, Job Recipient, or Job  
105 Recipient Proxy.

### 106 **2.8 Notification Recipient Agent**

107 A program which receives events on behalf of the notification recipient. The agent may  
108 take some action on behalf of the recipient, forward the notification to the recipient via  
109 some alternative means (for example, page the recipient), or queue the notification for  
110 later retrieval by the recipient.

### 111 **2.9 Notification Events**

112 Any of the following constitute events that a Job Submitting End User can specify  
113 notifications be sent for. Notifications are sent to an end user only for that end user's job,  
114 or for events that affect the processing of that end user's job:

- 115 • Any standard Printer MIB alert (i.e. device events that impact the end user's  
116 job)
- 117 • Job Received (transition from Unknown to Pending or Pending-held)
- 118 • Job Started (Transition from Pending to Processing)
- 119 • Page Complete (Page is stacked)
- 120 • Collated Copy Complete (last sheet of collated copy is stacked)
- 121 • Job Complete (transition from Processing or Processing-stopped to Completed)
- 122 • Job aborted (transition from Pending, Pending-held, Processing, or Processing-  
123 stopped to Aborted)
- 124 • Job canceled (transition from Pending, Pending-held, Processing, or Processing-  
125 held to Canceled)
- 126 • The job has not ended (Completed, Aborted, Canceled, etc.) within a specified  
127 time limit.

### 128 **2.10 Notification Registration**

129 It should be possible for end users to "Register" for notifications of certain types of  
130 events. These include any of those described in the preceding section.

131 **ISSUE:** Cohen's paper [cohen] uses the terms "subscribe" and "unsubscribe". Which  
132 should we use? If we change to use the terms "subscribe" and "unsubscribe", the we  
133 should also change the name of the new operations from Register-For-Event-

134 Notifications and Un-Register-For-Event-Notifications to Subscribe and Unsubscribe  
135 which are also the two methods (operations) in Cohen's paper.

### 136 **2.11 Notification Attributes**

137 IPP Objects (for example, a print job) from which notification are being sent may have  
138 attributes associated with them. A user may want to have one or more of these associated  
139 attributes returned along with a particular notification. In general, these may include any  
140 attribute associated with the object emitting the notification. Examples include:

141       number-of-intervening jobs  
142       job-k-octets  
143       job-k-octets processed  
144       job impressions  
145       job-impressions-interpreted  
146       job-impressions-completed  
147       impressionsCompletedCurrentCopy (job MIB)  
148       sheetCompletedCopyNumber (job MIB)  
149       sheetsCompletedDocumentNumber (job MIB)  
150       Copies-requested  
151       Copy-type  
152       Output-destination  
153       Job-state-reasons

### 154 **2.12 Immediate Notification**

155 Notifications sent to the notification recipient or the notification recipient's agent in such  
156 a way that the notification arrives immediately, within the limits of common addressing,  
157 routing, network congestion and quality of service.

### 158 **2.13 Queued Notification**

159 Notifications which are not necessarily sent immediately, but are queued for delivery by  
160 some intermediate network application, or for later retrieval. Email with store and  
161 forward is an example of queued notification.

### 162 **2.14 Notification with Reliable Delivery**

163 Notifications which are delivered by a reliable, sequenced delivery of packets or  
164 character stream, with acknowledgment and retry, such that delivery of the notification is  
165 guaranteed within some reasonable time limits. For example, if the notification recipient  
166 has logged off and gone home for the day, an immediate notification cannot be  
167 guaranteed to be delivered, even when sent over a reliable transport, because there is  
168 nothing there to catch it. Guaranteed delivery requires both queued notification and a  
169 reliable transport. If delivery of the notification requires process to process  
170 communications, each session is managed in a reliable manner, assuring fully ordered,  
171 end-to-end delivery.

172 **2.15 Notification with Unreliable Delivery**

173 Notifications are delivered via the fundamental transport address and routing framework,  
174 but no acknowledgment or retry is required. Process to process communications, if  
175 involved, are unconstrained.

176 **2.16 Quality of Service**

177 Some notification delivery methods may allow users to select quality of service  
178 parameters. These will depend upon the specific delivery method chosen, and may  
179 include parameters such as priority, security, number of retries, and the like.

180 **2.17 Human Consumable Notification**

181 Notifications which are intended to be consumed by human end users **only**. They contain  
182 no machine readable encodings of the event. Email would be an example of a Human  
183 consumable notification.

184 **2.18 Machine Consumable Notification**

185 Notifications which are intended for consumption by a program **only**, such as an IPP  
186 Client. Machine Consumable notifications may not contain human readable information.

187 **2.19 Mixed Notification**

188 A mixed notification may contain both human readable and human readable information.

189 **3 Model for Job and Printer Event Notification**

190 The following pictures from the IPP/1.0 Model and Semantics [ipp-model] are enhanced  
191 to show registration for event notification (1) as part of IPP job submission and (2) using  
192 the new IPP Register-For-Event-Notifications operations event notifications to (multiple)  
193 end-user notification-recipients and a system operator.

194 NOTE: The event notifications are shown coming from the IPP Printer object. An  
195 implementation option is for the IPP Printer object to forward the registration requests  
196 received in the job submission and with Register-For-Event-Notification operations to a  
197 notification service transparently to the requester. The IPP object then passes events to  
198 this notification service to distribute the event notifications to the notification recipients.  
199 To keep the drawings simple, this implementation option is not shown.

200 **ISSUE: Should we show the notification service case too?**

201

203

204 Legend:

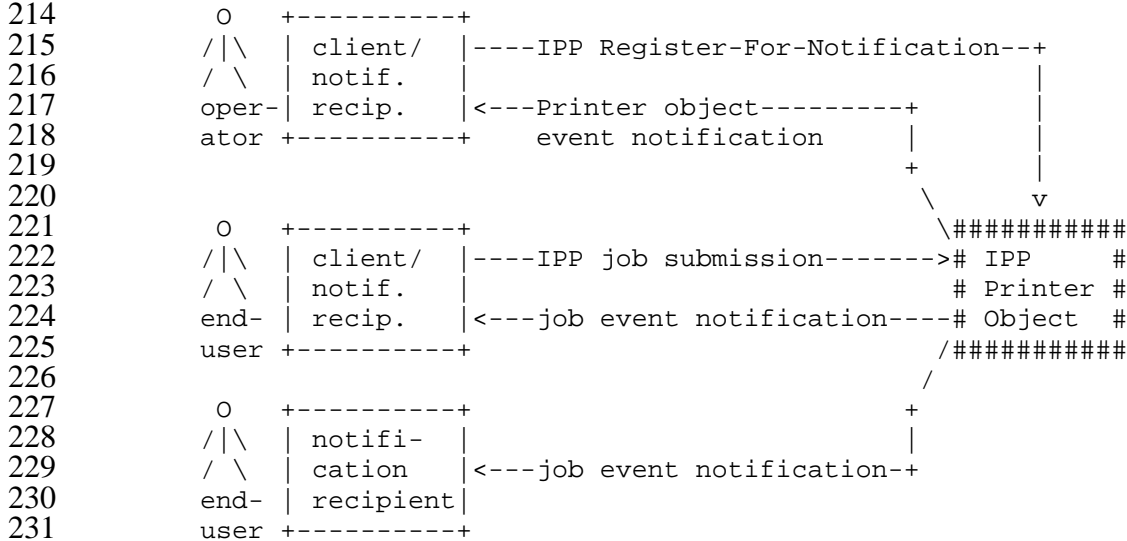
205

206 ##### indicates a Printer object which is  
 207 either embedded in an output device or is  
 208 hosted in a server. The Printer object  
 209 might or might not be capable of queuing/spooling.

210

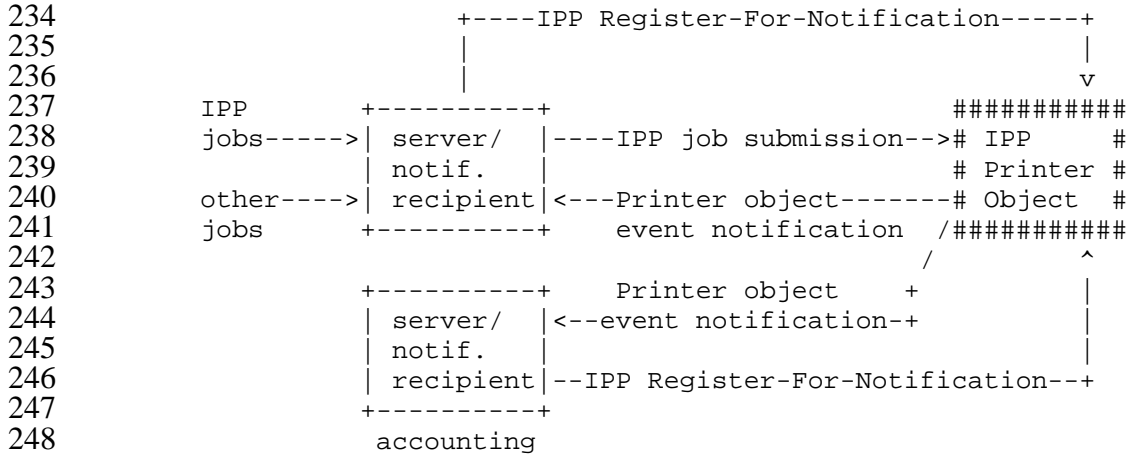
211 any indicates any network protocol or direct  
 212 connect, including IPP

213



232

233



249

## 250 4 Registration for notification

### 251 4.1 End-user and server-to-device registration as part of job submission

252 Registration for notifications is accomplished via IPP for end-user and server-to-device  
 253 notifications related to the jobs being submitted. This proposal includes specifics for

254 these types of registrations. Here the registration information is submitted with the job  
255 and an implementation SHALL store the information with the Job object so that it may be  
256 queried with the Get-Job-Attributes operation. In addition, an implementation MAY  
257 employ an event notification service to keep the event notification registration  
258 information and to actually deliver the event notifications. In this case, the IPP object  
259 passes each event as it occurs to the event notification service for event notification  
260 delivery to the notification recipients for which the Printer object had previously  
261 forwarded event notification registrations.

262 When the IPP Printer removes the job from the system, the registration is automatically  
263 removed with such an implementation. If the IPP Printer object implementation uses a  
264 notification server, then the IPP object will have to un-register with that notification  
265 server when the job completes.

#### 266 ***4.2 Registration by servers and third parties for device and/or job*** 267 ***monitoring***

268 Registration by servers that control IPP Printers and by 3<sup>rd</sup> party accounting or job  
269 monitoring applications, which are independent of job submissions, is accomplished by  
270 using the Register-For-Event-Notification operation .

### 271 **5 New Operation attributes for the create operations**

272 While e-mail notifications may be freeform and flexible, for applications to make use of  
273 the server-to-device protocol notifications there needs to be a defined (extendable) set of  
274 notification methods. The following IPP Job and Printer attributes are proposed to meet  
275 the requirements for notification and querying of supported capabilities, including human  
276 readable and program processable forms. The events are taken from Ron's and Harry's  
277 previously published lists, as well as the original set in the IPP Model from last October.  
278 The create operation attributes are intended to meet the requirements in the requirements  
279 document [req].



280 **5.1 job-notify (1setOf collection)**

281 The client **OPTIONALLY** supplies this Operation attribute as a *collection* attribute as  
 282 part of the Print-Job, Print-URI, and Create-Job operations. The Printer object  
 283 **OPTIONALLY** supports this Operation attribute as part of the Print-Job, Print-URI, and  
 284 Create-Job operations. If the Printer object supports this attribute for any of these create  
 285 operations, it **MUST** support it for all of these create operations that it supports.

286 **ISSUE:** Include the definition for the collection attribute syntax in this specification or  
 287 keep separate. The current white paper has the encoding updates and example that  
 288 corresponds to the IPP Protocol document, but could be put in this document as an  
 289 appendix.

290 The value of this attribute is one or more collection values. Each collection value  
 291 **SHALL** contain a "job-notify-recipients" member attribute and **MAY** contain any of the  
 292 remaining following *member* attributes with the indicated syntax:

293	Member attribute name	syntax	in request	support
294	-----	----	-----	-----
295	"job-notify-event-groups"	1setOf type2 keyword	MAY	mandatory
296	"job-notify-recipients"	1setOf uri <b>SHALL</b>		mandatory
297	"job-notify-content-type"	mimeMediaType	MAY	mandatory
298	"job-notify-charset"	charset	MAY	mandatory
299	"job-notify-natural-language"	naturalLanguage	MAY	optional
300	"job-notify-additional-attributes"	1setOf keyword	MAY	optional

301 The "support" column indicates the support required by the IPP object if it supports the  
 302 "job-notify" Operation attribute at all.

303 If the client does not supply the "job-notify" Operation attribute, the Printer object  
 304 **SHALL** reject the request and return the 'client-error-bad-request' status code, since the  
 305 syntax is not correct.

306 For this attribute (like the "job-k-octets", "job-impressions", and "job-media-sheets"  
 307 Operation attributes, see [ipp-model]), if the client supplies the attribute, but the Printer  
 308 object does not support the "job-notify" Operation attribute or some of its member  
 309 attributes, the Printer object ignores the client-supplied "job-notify" value or the member  
 310 attributes, respectively.

311 If the client supplies the "job-notify" Operation attribute and the Printer object supports  
 312 the "job-notify" Operation attribute, the collection value(s) of the attribute are used to  
 313 populate the job object's "job-notify" Job Description attribute (see section 6) according  
 314 to the following conditions:

315       If the values of the member attributes are within the range of the corresponding  
 316       Printer object's "xxx-supported" attributes (see section 7.1), the Printer object  
 317       **SHALL** use the collection value(s) to populate the job object's "job-notify" Job  
 318       Description attribute.

319       If some of the member attributes are not supported, the Printer object **SHALL**  
 320       copy such member attributes to the Unsupported Attributes response group with  
 321       the out-of-band value of 'not-supported', copy the remaining (supported) member

322 attributes to the job object's "job-notify" Job Description attribute, accept the  
323 request, and return the 'successful-ok-ignored-or-substituted-attributes' status  
324 code.

325 If some of the member attribute values are outside the range of the corresponding  
326 Printer object's "xxx-supported" attributes (see section 7.1), the Printer object  
327 SHALL copy such member attributes and their values to the Unsupported  
328 Attributes response group, substitute or ignore the supplied values, copy the  
329 remaining (supported) member attribute values to the job object's "job-notify" Job  
330 Description attribute, accept the request, and return the 'successful-ok-ignored-or-  
331 substituted-attributes' status code.

332 "The following attributes are defined for use in one or more collection values of the "job-  
333 notify" Operation attribute:

### 334 **5.1.1 job-notify-event-groups (1setOf type2 keyword)**

335 The client OPTIONALLY supplies this attribute as a member of the "job-notify"  
336 Operation attribute. The Printer object SHALL support this attribute if it supports the  
337 "job-notify" Operation attribute. This attribute specifies one or more event groups for  
338 which the end user desires some sort of notification to be sent to one or more notification  
339 recipients that the client supplies in the same "job-notify" collection value in the create  
340 request for this job.

341 Each event is assigned a keyword value (see section 5.1.1.2). Each of the events is  
342 assigned to one of the standard event groups. Each standard group is also assigned a  
343 keyword (see section 5.1.1.1), in order to simplify (1) client registration for the events  
344 supplied by the client and (2) event filtering by the notification mechanism.  
345 Implementers MAY add additional events to a group. Therefore, notification recipients  
346 SHOULD check the event that is sent in the notification content (see section 8) to make  
347 sure that it is an event that is wanted.

#### 348 **5.1.1.1 Job Notification Groups**

349 This section defines the event groups that a client may register for in the create operation.  
350 These event group keywords (not the actual event keywords themselves) are passed as  
351 attribute values in the "job-notify-event-groups" Operation attribute in the create request.

352 Standard event group values are:

353 'none': the Printer SHALL not notify on any notification events for this job. This  
354 value is useful to prevent notifications when the client has default notification  
355 attributes configured.

356 'all': the Printer SHALL notify when any of the supported notification events occur  
357 for this job.

358 'delivery': the Printer SHALL notify on any of the following events which, in  
359 general, pertain to the progress of delivering the job to the Printer:

360 'job-received', 'job-started-processing'

361 'progress': the Printer SHALL notify on any of the following events which, in  
362 general, pertain to the progress of pending or actually interpreting, marking,  
363 finishing or otherwise processing the job by the Printer object:

364                   `job-held`, `job-released`, `sheet-completed`, `collated-copy-completed`  
365 `problems`: the Printer SHALL notify on any of the following events which, in  
366                   general, pertain to problems with the job or the Printer. The notification recipient  
367                   is able to check the "job-state" attribute in the notification content to determine  
368                   whether this job caused the problem:

369                   `problems`

370 `completion`: the Printer SHALL notify on any of the following events which, in  
371                   general, pertain to ways that a job can end:

372                   `job-completed`, `job-aborted`, `job-canceled`

373 `management`: the Printer SHALL notify on any of the following events which, in  
374                   general, pertain to the logistics of print job management and accounting:

375                   `job-entry-expired`

376 **ISSUE: What event groups are MANDATORY for a Printer to support?**

377 In a create request, if the client supplies `none` along with any other combination of  
378 values, it is the same as if only that other set of values had been supplied (i.e., the `none`  
379 value has no affect). If the client supplies `all` along with any other combination of  
380 values, it is the same as if only `all` had been supplied (i.e., the `all` value subsumes all  
381 other values).

382 Note: the group `progress` is intended for those who wish to receive more frequent, "real-  
383 time" progress notifications on a page and copy boundary basis. This is why `job-started-  
384 printing` is in the `delivery` group, rather than the `progress` group, for example. An  
385 application which was interested in less granular milestones of print job progress would  
386 likely register for `completion` and `problems` (only).

### 387 **5.1.1.2 Job Notification Events**

388 This section defines the notification events. Each event is a member of an event group.  
389 When an event occurs, the event keyword, not the event group, is included in the  
390 notification content (see section 8).

391 The standard event values are:

392                   `job-received`: the Printer SHALL notify when it accepts this job (i.e., when the job is  
393                   created entering the `pending` or `pending-held` (JMP `pendingHeld` states) (JMP:  
394                   issued by the agent when the agent creates a row in the MIB for that job).

395                   `job-started-processing`: the Printer SHALL notify when the Printer starts processing  
396                   this Job (i.e., when the job leaves the `pending` state and enters the `processing`  
397                   state).

398                   `sheet-completed`: the Printer SHALL notify when each sheet in this job is completed  
399                   (i.e., stacked in the output bin).

400                   `collated-copy-completed`: the Printer SHALL notify when each document copy in  
401                   this job is completed (i.e., last sheet of a collated copy is stacked in an output bin)

402                   `job-held`: The Printer SHALL notify when this job enters the `pending-held` (JMP  
403                   `pendingHeld`) state (using some protocol operation not defined in IPP/1.0, but  
404                   perhaps in another protocol or added as an extension), or the system or device

405 holds the job because of some requirement that cannot be met and other jobs  
406 could be processed, if there are any.  
407 'job-released': the Printer SHALL notify when this job leaves the 'pending-held' (JMP  
408 pendingHeld) state entering the 'pending' or 'processing' states due to the user,  
409 operator, or system releasing the held job (using some protocol operation not  
410 defined in IPP/1.0, but perhaps in another protocol or added as an extension).  
411 'problems': the Printer SHALL notify when this job encounters a problem (i.e., when  
412 the job leaves the 'processing' state and enters the 'processing-stopped' state) OR  
413 when this job is affected by a Printer problem caused by another job (i.e., when  
414 the Printer enters the 'stopped' state while this job is in any of the 'pending' or  
415 'pending-held' states, even though the job remains in the 'pending' or 'pending-  
416 held' job state). The job attributes sent in the notification content (see section 8)  
417 SHALL be for the job that was processing when the problem occurred, not the job  
418 for which the registration was submitted. Thus a number of jobs may have  
419 registered for this event, and their specified notification recipients will all get the  
420 same notification content.

421 ***ISSUE 1: Ok to have combined these two events into one***  
422 ***event (and one event group) for simplicity and specified that***  
423 ***the notification content is the same for all notification***  
424 ***recipients receiving this event?***

425 'job-completed': the Printer SHALL notify when this job completes processing (with  
426 or without errors or warnings) and enters the 'completed' state.  
427 'job-aborted': the Printer SHALL notify when this job was aborted by the system  
428 while in the 'processing' or 'processing-stopped' state, due to some encountered  
429 problem that cannot be remedied by human intervention.  
430 'job-canceled': the Printer SHALL notify when this job was canceled by the user or  
431 operator using the Cancel-Job operation while the job was in any state .  
432 'job-entry-expired': - the Printer SHALL notify when the Printer (or SNMP agent)  
433 removes the job from the system so that the job is no longer available via the Get-  
434 Jobs or Get-Job-Attributes operations after being in the 'completed', 'aborted', or  
435 'canceled' states for a time period that depends on implementation and site policy  
436 (JMP: when agent removes the MIB row).

437

## 438 5.1.2 job-notify-recipients (1setOf uri)

439 The client OPTIONALLY supplies this attribute as a member of the "job-notify"  
440 Operation attribute. The Printer object SHALL support this attribute if it supports the  
441 "job-notify" Operation attribute. This attribute describes both where (the address) and  
442 how (the mechanism for delivery) events are to be delivered. The Printer object SHALL  
443 use this attribute as the set of addresses and methods for sending notifications when one  
444 of the events occurs that the client supplied in the "job-notify-event-groups" member  
445 attribute in the same "job-notify" collection value "in the create request for this job. The  
446 Printer object MAY achieve the registration and event notification delivery either (1)  
447 itself or (2) by using some (unspecified) notification service that supports the requested  
448 mechanism of notifying the notification recipients. Either implementation choice  
449 SHALL be transparent to clients and notification-recipients.

450 Standard uriScheme values are:

451 'mailto': a text message via email to the specified email address

452 'http': an HTML formatted message via an HTTP POST method to the specified URI

453 'ftp': a text message via an FTP 'append' command to the specified remote file.

454

455 The following values are not yet standardized or registered. Some of them represent  
456 work in progress. They will be registered following the procedures [url-reg]. See  
457 also [cohen] for HTTP URL schemes for notification.

458 **ISSUE: Which schemes do we want to progress?**

459

460 'page': a pager phone number to call as specified by the /phone-number parameter in  
461 the URL.

462 'tcp-ip-sockets': a notification via a TCP/IP socket that is opened by the Printer object  
463 on the IP address specified in the URI (using IP address dot notation) using the  
464 port on that host specified using the /port=nnn keyword. For example:

465 tcp-ip-socket:13.240.120.138/port=6000

466 would cause the Printer object to open the TCP/IP port 6000 at IP address  
467 13.240.120.138.

468 'snmpv1': a notification as an SNMPv1 trap to the host specified as the address in the  
469 URI.

470 Note: by specifying different port numbers for each registration on different  
471 Printers, a notification recipient can differentiate between events from different  
472 Printers, even when the "job-notify-additional-attributes" attribute is not  
473 supported.

474 'snmpv2': a notification as an SNMPv2 inform to the host specified as the address in  
475 the URI.

476 'snmpv3': a notification as an SNMPv3 inform to the host specified as the address in  
477 the URI.

478 'sense': a notification as a SENSE UDP data gram that is opened by the Printer object  
479 on the IP address specified in the URI (using IP address dot notation) using the  
480 port on that host specified using the /port=nnn keyword. See the tcp-ip-socket  
481 example.

482

483 The Printer object SHALL validate that the schemes supplied in the "job-notify-  
484 recipients" is supported by comparing with the Printer object's "job-notify-schemes-  
485 supported".

486 If the Printer object supports the "job-notify" Operation attribute, it MUST support the  
487 'mailto' scheme for "job-notify-recipients". **ISSUE: Is it too hard to require and**  
488 **embedded device to include sending e-mail?**

### 490 **5.1.3 job-notify-content-type (mimeMediaType)**

491 The client OPTIONALLY supplies this attribute as a member of the "job-notify"  
 492 Operation attribute. The Printer object SHALL support this attribute if it supports the  
 493 "job-notify" Operation attribute. This attribute specifies the type of content that is sent in  
 494 the notification. Thus the client can control whether the event notification content is  
 495 human readable, machine readable, or both.

496 Standard values are:

497       'multi-part/alternative' - contains both human consumable notification content  
 498               using the 'text/plain' MIME media type and machine consumable  
 499               notification content using the 'application/ipp' MIME media type with the  
 500               Get-Job-Attributes response encoding of the attributes listed in Table 1.  
 501               This value SHALL be supported and is the default, if the client does not  
 502               supply the "job-notify-content-type" member attribute.

503  
 504       'application/ipp' - the machine consumable notification content using the  
 505               'application/ipp' MIME media type [ipp-model] with the Get-Job-  
 506               Attributes response encoding of the attributes listed in Table 1.

507  
 508       'text/plain' - the human consumable notification content. If the charset is other  
 509               than US-ASCII, the /charset parameter SHALL be included in the event  
 510               notification content. RFC 2046 indicates that the absence of the charset  
 511               parameter SHALL mean US-ASCII rather than simply unspecified  
 512               [RFC2046].

### 513 **5.1.4 job-notify-charset (charset)**

514 The client OPTIONALLY supplies this attribute as a member of the "job-notify"  
 515 Operation attribute. The Printer object SHALL support this attribute if it supports the  
 516 "job-notify" Operation attribute. This attribute specifies the charset for the IPP object to  
 517 use in the human readable part of the notification content that is sent to the notification  
 518 recipients that the client supplied in this same collection value. If the attribute is not  
 519 supplied, the charset supplied in the "attributes-charset" Operation attribute SHALL be  
 520 used, if the charset value is supported by the Printer, else the Printer object shall use the  
 521 Printer's "charset-configured" value.

### 522 **5.1.5 job-notify-natural-language (naturalLanguage)**

523 The client OPTIONALLY supplies this attribute as a member of the "job-notify"  
 524 Operation attribute. The Printer object OPTIONALLY supports this attribute if it  
 525 supports the "job-notify" Operation attribute. This attribute specifies the natural language  
 526 for the IPP object to use in the human readable part of the notification content is sent to  
 527 the notification recipients that the client supplied in this same collection value. If this  
 528 attribute is not supported or the supplied value is not supported, the IPP Printer SHALL  
 529 return the attribute in the Unsupported Attributes Group but still accept the operation, as  
 530 with all create operations. If this attribute is not supplied or the attribute or value is not  
 531 supported by the Printer object, the natural language supplied in the "attributes-natural-

532 language" create operation attribute SHALL be used, if that natural language value is  
533 supported by the Printer, else the Printer object SHALL use the Printer's "natural-  
534 language-configured" value. See the Print-Job operation in [ipp-model].

### 535 **5.1.6 job-notify-additional-attributes (1setOf keyword)**

536 The client OPTIONALLY supplies this attribute as a member of the "job-notify"  
537 Operation attribute. The Printer object OPTIONALLY supports this attribute if it  
538 supports the "job-notify" Operation attribute. This attribute specifies the additional  
539 attributes that the requester wishes to be included in the notification content, in addition  
540 to the fixed set that depends on the event as shown in the table in section 8. If this  
541 attribute is not supported or not supplied by the client, the Printer object SHALL supply  
542 the fixed set of attributes indicated in section 8 depending on the event being requested.



543

## 544 6 Job Object attributes for Job Notification

### 545 6.1 "job-notify" (1setOf collection)

546 This attribute specifies one or more collections of events, notification-recipients, and  
547 other member attributes that the client supplied in the "job-notify" Operation attribute of  
548 the create request. The Printer object SHALL support this Job attribute if it supports the  
549 "job-notify" Operation attribute. See the description of the "job-notify" Operation  
550 attribute for the complete specification of the semantics of this Job Description attribute.

## 551 7 Printer Object attributes for Job Notification

552 If the Printer object supports the "job-notify" Operation attribute for the Print-Job, Print-  
553 URI, and Create-Job operations, then the Printer object SHALL support the following  
554 supported Printer object attributes that correspond to the "job-notify" member attributes  
555 supported.

556 Note: These Printer attributes are specified as separate Printer object attributes, rather  
557 than as member attributes of a Printer object's collection attribute, since any combination  
558 of values may be used for any of the attributes.

559	+-----+-----+	
560	Operation and	Printer: Supported
561	Job Attribute	Values Attribute
562	+-----+-----+	
563	job-notify-event-	job-notify-event-
564	groups	groups-supported
565	(1setOf type2	(1setOf type2 keyword
566	keyword)	
567	+-----+-----+	
568	job-notify-	job-notify-schemes
569	recipients	-supported
570	(1setOf uri)	(1setOf uriScheme)
571	+-----+-----+	
572	job-notify-	job-notify-content-
573	content-type	type-supported
574	(mimeType)	(1setOf mimeType)
575	+-----+-----+	
576	job-notify-charset	job-notify-charset-
577	(1setOf charset)	supported
578		(1setOf charset)
579	+-----+-----+	
580	job-notify-	job-notify-natural-
581	natural-language	language-supported
582	(naturalLanguage)	(1setOf
583		naturalLanguage)
584	+-----+-----+	
585	job-notify-	job-notify-
586	additional-	additional-
587	attributes	attributes-supported
588	(1setOf keyword)	(1setOf keywords)
589	+-----+-----+	

590

## 591 **7.1 Notification Supported Printer attributes**

592 The value of the Printer object's "job-notify-recipients-supported" attribute is a  
593 'uriScheme'. The Printer object SHALL use the values of this attribute to validate the  
594 scheme supplied by the client in the "job-notify-recipients" member attribute. These  
595 Printer object attributes specify the supported values for the corresponding member  
596 attributes of the "job-notify" Operation collection attribute.

597 For example, if a Printer object supports:

- 598 1) 'mailto:' method for the 'job-completed', 'job-canceled', and 'job-aborted' events  
599 using English, French, U.S. English, and German and supporting additional  
600 attributes: "job-uri", "job-name", "job-originating-user-name", "number-of-  
601 documents", "job-state", "sides", "finishing"
- 602 2) 'sense' and 'tcp/ip-socket' methods for the 'job-received', 'job-started', 'job-  
603 completed', 'job-aborted', 'job-canceled' events in English only

604 a system administrator could configure the following Printer attributes":

605 "job-notify-schemes-supported" = 'mailto', 'sense', 'tcp-ip-sockets'

606 "job-notify-event-groups-supported" = 'delivery', 'completed'

607 "job-notify-natural-language-supported" = 'en', 'fr', 'en-us', 'de'

608 "job-notify-additional-attributes-supported" = 'job-uri', 'job-name',  
609 'job-originating-user-name', 'number-of-documents',  
610 'job-state', 'sides', 'finishing'

611 Note: the fact that not all events are supported for the mailto scheme, or that not all  
612 languages are supported for the 'sense' and 'tcp-ip-sockets' methods is not represented,  
613 since the collection mechanism is not used to represent the supported attributes. If the  
614 client supplies a combination that is not supported, the Printer object SHALL accept the  
615 create request (independent of the value of the "ipp-attribute-fidelity" attribute supplied  
616 by the client), make suitable substitutions, and return the attributes that are ignored or  
617 substituted in the create operation response.

## 618 **8 Operations to Register and Un-register for notifications**

619 There are two new OPTIONAL operations to allow a client or server to register for  
620 Printer object events without submitting a job. These new operations are intended for use  
621 by servers that control printers, by clients used by operators/administrators that manage  
622 printers, and by applications that collect accounting data.

### 623 **8.1 Register-For-Event-Notifications Operation**

624 This OPTIONAL operation allows a client to register with the Printer object to be  
625 notified when identified events happen to the device(s) that the Printer object is  
626 representing without requiring that the client submit jobs. In the request, the client  
627 supplies the set of Printer event group names in which the notification-recipient is  
628 interested. In the response, the Printer object returns a list of the current registrations,  
629 including the new one requested by this operation.

630 This operation is intended for use by system operators and administrators that have a long  
631 term interest in the events without submitting jobs. It is also intended to be used by  
632 servers that control IPP Printers. Finally, it is also intended to be used by accounting  
633 applications that need to be notified when jobs complete.

634 For Printer objects, the possible names of event groups are the same as for use in the  
635 "job-notify" Operation attribute in create requests.

636 It is NOT REQUIRED that a Printer object support all event groups or all events within  
637 the supported event groups.

### 638 **8.1.1 Register-For-Event-Notifications Request**

639 The following sets of attributes are part of the Register-For-Event-Notifications Request:

640 Group 1: Operation Attributes

641 Target:

642 The "printer-uri" operation attribute which is the target for this operation as  
643 described in section **Error! Reference source not found.**

644

645 Natural Language and Character Set:

646 The "attributes-charset" and "attributes-natural-language" attributes as described  
647 in section **Error! Reference source not found.**

648

649 Requesting User Name:

650 The "requesting-user-name" attribute SHOULD be supplied by the client as  
651 described in section **Error! Reference source not found.**

652

653 "printer-notify" (1setOf collection) :

654 The client SHALL supply a "printer-notify" Operation attribute that MUST  
655 specify the notification-recipient(s), and MAY specify additional information  
656 about the registration. The value of this attribute is one or more collection values.  
657 Each collection value SHALL contain a "job-notify-recipients" member attribute  
658 and MAY contain any of the other *member* attributes defined for use with the  
659 "job-notify" Operation attribute in create operations. The Printer object MUST  
660 support this Operation attribute (if it supports this OPTIONAL operation). If the  
661 client omits this attribute, the Printer SHALL reject the operation and return the  
662 'client-error-bad-request' status code.

663

664 **ISSUE: If we agree to these operations, we should remove the "job-" prefix on all**  
665 **the member attributes in the "job-notify" collection attribute, so that the same**  
666 **collection can be used with the "printer-notify" Printer attribute as well.**

667

668 The Printer object SHALL validate that this client is permitted to register for  
669 notifications. The means for configuring the permissions is outside the scope of this  
670 specification. If a requester is not permitted to register for notifications, the IPP Printer  
671 SHALL reject the request and return the 'client-error-authenticated' or 'client-error-not-  
672 authorized' status code.

673 If the IPP Printer object accepts the request, it SHALL add the registration to the Printer  
674 object's "printer-notify" attribute. The Printer object SHALL add a "notify-registration-  
675 id" member attribute with a unique integer id for each collection value added. This id is  
676 used to un-register using the Un-Register-For-Event-Notifications operations. If this  
677 client had already registered, this registration will be added to the value of the "printer-  
678 notify" attribute anyway. Clients SHOULD remove registrations that are no longer  
679 wanted using the Un-Register-For-Event-Notifications operation.

### 680 **8.1.2 Register-For-Event-Notifications Response**

681 The Printer object returns the following sets of attributes as part of Register-For-Event-  
682 Notifications Response:

#### 683 Group 1: Operation Attributes

684 Status Code and Message:

685 The response includes the MANDATORY status code and an OPTIONAL  
686 "status-message" (text) operation attribute as described in section **Error!**  
687 **Reference source not found..**

688

689 Natural Language and Character Set:

690 The "attributes-charset" and "attributes-natural-language" attributes as described  
691 in section **Error! Reference source not found..**

692

#### 693 Group 2: Unsupported Attributes

694 This is a set of Operation (member) attributes supplied by the client (in the  
695 request) that are not supported by the Printer object or that conflict with one  
696 another (see sections **Error! Reference source not found.** and **Error! Reference**  
697 **source not found.**).

698

#### 699 Group 3: Printer Object Attributes

700 The updated "printer-notify" attribute that contains the requested registration(s)  
701 supplied in this operation request, along with any that have been previously  
702 registered by any client.

703

### 704 **8.2 Un-Register-For-Event-Notifications Operation**

705 This OPTIONAL operation allows a client to un-register with the Printer object for event  
706 notifications that had been registered previously using the Register-For-Event-  
707 Notification operation. In the request, the client supplies the notify-registration-id  
708 attribute that the Printer object created in the Register-For-Event-Notifications operation.  
709 In the response, the Printer object returns a list of the current registrations which SHALL  
710 NOT include the ones removed by this operation.

711 This operation is intended for use by system operators and administrators that have a long  
712 term interest in the events without submitting jobs. It is also intended to be used by

713 servers that control IPP Printers. Finally, it is also intended to be used by accounting  
714 applications that need to be notified when jobs complete.

### 715 **8.2.1 Un-Register-For-Event-Notifications Request**

716 The following sets of attributes are part of the Un-Register-For-Event-Notifications  
717 Request:

718 Group 1: Operation Attributes

719 Target:

720 The "printer-uri" operation attribute which is the target for this operation as  
721 described in section **Error! Reference source not found..**

722

723 Natural Language and Character Set:

724 The "attributes-charset" and "attributes-natural-language" attributes as described  
725 in section **Error! Reference source not found..**

726

727 Requesting User Name:

728 The "requesting-user-name" attribute SHOULD be supplied by the client as  
729 described in section **Error! Reference source not found..**

730

731 "notify-registration-id" (integer(1:MAX)) :

732 The client SHALL supply a "notify-registration-id" Operation attribute that  
733 specifies a registration id assigned by the Printer object in a previous Register-  
734 For-Event-Notifications. The Printer object MUST support this Operation  
735 attribute (if it supports this OPTIONAL operation). If the client omits this  
736 attribute, the Printer SHALL reject the operation and return the 'client-error-bad-  
737 request' status code.

738

739 The Printer object SHALL validate that this client is permitted to un-register notifications  
740 in general and this notification registration in particular. The means for configuring the  
741 permissions is outside the scope of this specification. If a requester is not permitted to  
742 un-register for notifications in general or for the requested registration, the IPP Printer  
743 SHALL reject the request and return the 'client-error-authenticated' or 'client'-error-not-  
744 authorized' status code. If the value of the "notify-registration-id" is not found, the IPP  
745 Printer SHALL reject the request and return the 'client-error-not-found' status code.

746 If the IPP Printer object accepts the request, it SHALL remove the requested event  
747 notification registration from the Printer object's "printer-notify" attribute. Clients  
748 SHOULD remove registrations that are no longer wanted using this operation.

### 749 **8.2.2 Un-Register-For-Event-Notifications Response**

750 The Printer object returns the following sets of attributes as part of Register-For-Event-  
751 Notifications Response:

752 Group 1: Operation Attributes

753 Status Code and Message:  
 754 The response includes the MANDATORY status code and an OPTIONAL  
 755 "status-message" (text) operation attribute as described in section **Error!**  
 756 **Reference source not found.**  
 757  
 758 Natural Language and Character Set:  
 759 The "attributes-charset" and "attributes-natural-language" attributes as described  
 760 in section **Error! Reference source not found.**  
 761  
 762 Group 2: Unsupported Attributes  
 763 This is a set of Operation (member) attributes supplied by the client (in the  
 764 request) that are not supported by the Printer object or that conflict with one  
 765 another (see sections **Error! Reference source not found.** and **Error! Reference**  
 766 **source not found.**)  
 767  
 768 Group 3: Printer Object Attributes  
 769 The updated "printer-notify" attribute that no longer contains the event  
 770 notification registration that was requested to be removed.

## 771 9 Printer Object Attributes for Printer Notification

772 If the Printer object supports the Register-For-Event-Notifications operations, then the  
 773 Printer object SHALL support the following supported Printer object attributes that  
 774 correspond to the "printer-notify" member attributes supported.

775 Note: These Printer attributes are specified as separate Printer object attributes, rather  
 776 than as member attributes of a Printer object's collection attribute, since any combination  
 777 of values may be used for any of the attributes.

778 **NOTE: The following assumes that we have removed the "job-" prefix on the collection**  
 779 **member attributes.**

780	+=====+	
781	Operation	Printer: Supported
782	Attribute	Values Attribute
783	+=====+	
784	notify-event-	printer-notify-event-
785	groups	groups-supported
786	(1setOf type2	(1setOf type2 keyword
787	keyword)	
788	+-----+	
789	notify-recipients	printer-notify-schemes
790		-supported
791	(1setOf uri)	(1setOf uriScheme)
792	+-----+	
793	notify-	printer-notify-content-
794	content-type	type-supported
795	(mimeType)	(1setOf mimeType)
796	+-----+	
797	notify-charset	printer-notify-charset-
798	(1setOf charset)	supported
799		(1setOf charset)

800		
801	notify-	printer-notify-natural-
802	natural-language	language-supported
803	(naturalLanguage)	(1setOf
804		naturalLanguage)
805	+-----+	+-----+
806	notify-	printer-notify-
807	additional-	additional-
808	attributes	attributes-supported
809	(1setOf keyword)	(1setOf keywords)
810	+-----+	+-----+

811

## 812 10 Notification Content definitions

813 Just as applications need a defined (extendable) set of notifications, they also need a fixed  
814 structure and reliable notification content. The notification content depends on the event.  
815 For all events, except the 'problems' event, the job to which the event occurred is the  
816 same as the job for which the registration was specified in the create job operation. For  
817 the 'problems' event, the attributes that are included in the notification content are for the  
818 job that caused the event, not the jobs that registered for the event. Thus when a queue of  
819 jobs all register for the 'problem' event, their specified notification-recipients all receive  
820 the same notification content (for the job that caused the problem event on the Printer).

821 An IPP Printer object MAY also implement the "job-notify-additional-attributes"  
822 Operation member attribute in order to allow a client to request additional attributes over  
823 and above the fixed set shown in Table 1. Note: a client may wish to request the  
824 "printer-uri" attributes in order for the recipient to receive the URI of the printer, in case  
825 the recipient needs to obtain additional job and printer attributes using the Get-Job-  
826 Attributes and Get-Printer-Attributes operation.

827 [Some delivery methods, such as SNMP, do not support the requester requesting  
828 additional attributes; the notification recipient will have to explicitly use a Get-Job-  
829 Attributes or Get-Printer-Attributes operation to get additional attributes about the job or  
830 device.]

831 All IPP delivery methods SHALL support (via the "job-notify-content-type" member  
832 attribute) the ability to encode the notification content as a 'multi-part/alternative' MIME  
833 media type in order to represent both (1) the human processable 'text/plain' MIME media  
834 type alternative and (2) a machine processable alternative using the 'application/ipp'  
835 MIME media type [ipp-model] with the Get-Job-Attributes response encoding of the  
836 attributes listed in Table 1. The client MAY select the content type by supplying the  
837 "job-notify-content-type" member attribute in the "job-notify" collection attribute.

838 [IPP does not have some of the job progress attributes that the PWG Job Monitoring has.  
839 These are indicated with "-" in the IPP attribute column.]

840

841 **Table 1 - Mandatory attributes sent in notification content depending on the event**

<b>IPP attribute (content)</b>	<b>JMP attribute (content)</b>	<b>Event</b>				
--------------------------------	--------------------------------	--------------	--	--	--	--

842

		job-received	job-started-processing, job-held, job-released	job-problems	printer-problems	sheet-completed, collated-copy-completed, job-completed, job-aborted, job-canceled, job-entry-expired
job-uri	jmJobSubmissionID	Yes	Yes	Yes	Yes***	-
job-id	jmJobIndex	Yes	Yes	Yes	Yes***	Yes
number-of-intervening-jobs	jmNumberOfInterveningJobs	Yes	Yes	Yes	Yes	-
job-k-octets	jmJobKOctetsPerCopyRequested	-	Yes	Yes	Yes	Yes
job-k-octets-processed	jmJobKOctetsProcessed	-	-	Yes	Yes	Yes
job-impressions	jmJobImpressionsPerCopyRequested	-	Yes*	Yes*	Yes*	Yes*
-	impressionsInterpreted	-	-	Yes	Yes	Yes
job-impressions-completed	jmJobImpressionsCompleted	-	-	Yes	Yes	Yes
copies	jobCopiesRequested	-	-	Yes	Yes	Yes
-	impressionsCompletedCurrentCopy	-	-	Yes	Yes	Yes
-	sheetCompletedCopyNumber	-	-	Yes	Yes	Yes
-	sheetCompletedDocumentNumber	-	-	Yes	Yes	Yes
-	jobCollationType	-	-	Yes	Yes	Yes
-	outputBin	-	-	-	-	Yes**
job-state	jmJobState	-	-	Yes	Yes	-



		job-received	job-started-processing, job-held, job-released	job-problems	printer-problems	sheet-completed, collated-copy-completed, job-completed, job-aborted, job-canceled, job-entry-expired
job-state-reasons	jmJobStateReasons1	Yes	Yes			Yes

843

844 '!' indicates that the attribute SHALL NOT be included in the notification content.

845 \* The IPP Printer object will treat jmJobImpressionsPerCopyRequested in the following  
846 manner. If explicitly *passed in on submission*, this will be the value used. If there is no  
847 value passed in on submission, then the *implicit value, derived from the final number of*  
848 *impressionsInterpreted for the first copy will be used.*

849

850 \*\* outputBin may be multi-valued

851 \*\*\* Depending on the security policy in force, the "job-uri" and "job-id" attributes MAY  
852 NOT be sent to some notification-recipients. **ISSUE: The above table does not contain a**  
853 **number of attributes that the Printer MIB generates on an alert: prtAlertSeverityLevel,**  
854 **prtAlertTrainingLevel, prtAlertLocation, prtAlertDescription, prtAlertTime. Should we**  
855 **add them? To which event?**

856 Note: the 'delivery' group has different patterns of attributes sent in the notification  
857 content, so that the IPP Printer object would have to register with the SNMP agent using  
858 several different SNMP trap OIDs because the VarBind lists must be different.

859 NOTE: The following objects and attributes have not been included in the fixed set of  
860 attributes that SHALL be returned for the indicated reasons (they MAY be requested in  
861 implementations that support the "ipp-notify-additional-attributes" attribute):

- 862 1) "job-state" (JMP jmJobState) - the event indicates the job's new state.
- 863 2) "job-owner" (JMP jobOwner) - the notification recipient should know who the  
864 owner is. Also the owner is a string, so it can be long. The total size of the  
865 content must fit in the maximum size of a PDU for any transport, which is  
866 about 500 octets or so (for IPX).
- 867 3) For an IPP device, the jmJobSubmissionID is "job-uri", at least the last 47  
868 octets of it.

## 869 11 Encoding

870 The new 'collection' attribute syntax will use the 0x34 tag value that has been reserved in  
871 the IPP/1.0: Protocol Specification for this purpose.

872

873 **12 References**

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