

1 Internet Printing Protocol WG
2 INTERNET-DRAFT
3 <draft-ietf-ipp-notify-get-09.txt>
4 Updates: RFC 2911 and [ipp-ntfy]
5 [Target category: standards track]
6 Expires: August 21, 2003
7
8

R. Herriot
consultant
T. Hastings
Xerox Corp.
H. Lewis
IBM Corp.
February 21, 2003

9 Internet Printing Protocol (IPP):
10 **The ‘ippget’ Delivery Method for Event Notifications**

11 Copyright (C) The Internet Society (2003). All Rights Reserved.
12
13

14 **Status of this Memo:**

15 This document is an Internet-Draft and is in full conformance with all provisions of Section 10 of RFC
16 2026. Internet-Drafts are working documents of the Internet Engineering Task Force (IETF), its areas,
17 and its working groups. Note that other groups may also distribute working documents as Internet-
18 Drafts.

19 Internet-Drafts are draft documents valid for a maximum of six months and may be updated, replaced,
20 or obsoleted by other documents at any time. It is inappropriate to use Internet-Drafts as reference
21 material or to cite them other than as “work in progress”.

22 The list of current Internet-Drafts can be accessed at <http://www.ietf.org/ietf/1id-abstracts.html>

23 The list of Internet-Draft Shadow Directories can be accessed as <http://www.ietf.org/shadow.html>.

24 **Abstract**

25 This document describes an extension to the Internet Printing Protocol/1.1: Model and Semantics
26 (RFC 2911, RFC 2910). This document specifies the ‘ippget’ Pull Delivery Method for use with the
27 “Internet Printing Protocol (IPP): Event Notifications and Subscriptions” specification (ipp-ntfy). This
28 IPPGET Delivery Method is REQUIRED for all clients and Printers that support ipp-ntfy. The
29 Notification Recipient, acting as a client, fetches (pulls) Event Notifications using the Get-
30 Notifications operation defined in this document.
31

31

32 **Table of Contents**

33	1 Introduction	4
34	2 Terminology	4
35	2.1 Conformance Terminology	4
36	2.2 Other terminology	4
37	3 Model and Operation	5
38	4 General Information	6
39	5 Get-Notifications operation	7
40	5.1 Get-Notifications Request	8
41	5.1.1 notify-subscription-ids (1setOf integer(1:MAX))	8
42	5.1.2 notify-sequence-numbers (1setOf integer(1:MAX))	8
43	5.1.3 notify-wait (boolean)	9
44	5.2 Get-Notifications Response	9
45	5.2.1 notify-get-interval (integer(0:MAX))	11
46	5.2.2 printer-up-time (integer(1:MAX))	13
47	6 Additional Information about Subscription Template Attributes	15
48	6.1 notify-pull-method (type2 keyword)	15
49	7 Subscription Description Attributes	16
50	8 Additional Printer Description Attributes	16
51	8.1 ippget-event-life (integer(15:MAX))	16
52	9 New Values for Existing Printer Description Attributes	17
53	9.1 notify-pull-method-supported (1setOf type2 keyword)	17
54	9.2 operations-supported (1setOf type2 enum)	17
55	10 New Status Codes	17
56	10.1 successful-ok-events-complete (0x0007)	17
57	11 Encoding and Transport	18
58	12 Conformance Requirements	19
59	12.1 Conformance for IPP Printers	19
60	12.2 Conformance for IPP Clients	20
61	13 Normative References	20
62	14 Informative References	21

63	15 IANA Considerations	21
64	15.1 Attribute Registrations	22
65	15.2 Delivery Method and Additional keyword attribute value registrations for existing attributes...	22
66	15.3 Additional enum attribute values	22
67	15.4 Operation Registrations	22
68	15.5 Status code Registrations.....	23
69	16 Intellectual Property	23
70	17 Internationalization Considerations.....	23
71	18 Security Considerations.....	23
72	18.1 Notification Recipient client access rights	24
73	18.2 Printer security threats.....	24
74	18.3 Notification Recipient security threats.....	24
75	18.4 Security requirements for Printers.....	25
76	18.5 Security requirements for clients.....	25
77	19 Contributors.....	25
78	20 Authors’ Addresses	25
79	21 Description of Base IPP documents (Informative).....	26
80	22 Full Copyright Statement	27
81		
82	Table of Tables	
83	Table 1 – Information about the Delivery Method.....	6
84	Table 2 - Combinations of “notify-wait”, “status-code”, and “notify-get-interval”	12
85	Table 3 – Attributes in Event Notification Content	14
86	Table 4 – Additional Attributes in Event Notification Content for Job Events	15
87	Table 5 – Combinations of Events and Subscribed Events for “job-impressions-completed”	15
88	Table 6 – Additional Attributes in Event Notification Content for Printer Events.....	15
89	Table 7 – Operation-id assignments.....	17
90	Table 8 – The "event-notification-attributes-tag" value.....	19
91		
92		

92 1 Introduction

93 This document describes an extension to the Internet Printing Protocol/1.1: Model and Semantics
94 [RFC 2911], [RFC 2910]. This document specifies the 'ippget' Pull Delivery Method for use with the
95 "Internet Printing Protocol (IPP): Event Notifications and Subscriptions" specification [ipp-ntfy]. This
96 IPPGET Delivery Method is **REQUIRED** for all clients and Printers that support [ipp-ntfy]. The
97 Notification Recipient, acting as a client, fetches (pulls) Event Notifications using the Get-
98 Notifications operation defined in this document. For a description of the base IPP documents, see
99 section 21 of this document. For a description of the IPP Event Notification Model, see [ipp-ntfy].

100 With this Pull Delivery Method, when an Event occurs, the Printer saves the Event Notification for a
101 period of time called the Event Life. The Notification Recipient fetches (pulls) the Event Notifications
102 using the Get-Notifications operation. This operation causes the Printer to return all Event
103 Notifications held for the specified Subscription object(s). If the Notification Recipient has selected
104 the **Event Wait Mode** option to wait for additional Event Notifications, the Printer **MAY** continue to
105 return Event Notifications to the Notification Recipient as asynchronous Get-Notification responses as
106 Events occur using the transaction originated by the Notification Recipient.

107 The Notification Recipient can terminate **Event Wait Mode** (without closing the connection) by
108 supplying the "notify-wait" (boolean) attribute with a 'false' value in a subsequent Get-Notifications
109 request. Similarly, the Printer can terminate **Event Wait Mode** (without closing the connection) by
110 returning the "notify-get-interval" (integer) operation attribute in a Get-Notifications response which
111 tells the Notification Recipient how long to wait before trying again.

112 2 Terminology

113 This section defines the following terms that are used throughout this document:

114 2.1 Conformance Terminology

115 Capitalized terms, such as **MUST**, **MUST NOT**, **REQUIRED**, **SHOULD**, **SHOULD NOT**, **MAY**,
116 **NEED NOT**, and **OPTIONAL**, have special meaning relating to conformance as defined in RFC 2119
117 [RFC2119] and [RFC2911] section 12.1. If an implementation supports the extension defined in this
118 document, then these terms apply; otherwise, they do not. These terms define conformance to *this*
119 *document only*; they do not affect conformance to other documents, unless explicitly stated otherwise.

120 2.2 Other terminology

121 This document uses the same terminology as [RFC2911], such as "**client**", "**Printer**", "**Job**",
122 "**attribute**", "**attribute value**", "**keyword**", "**operation**", "**request**", "**response**", and "**support**" with
123 the same meanings. This document also uses terminology defined in [ipp-ntfy], such as "**Subscription**
124 **(object)**", "**Notification Recipient**", "**Event**", "**Event Notification**", "**Compound Event**
125 **Notification**", "**Event Life**", and "**Event Notification Attribute Group**" with the same meanings. In
126 addition, this document defines the following terms for use in this document:

127 **Event Wait Mode:** The mode requested by a Notification Recipient client in its Get-Notifications
128 Request and granted by a Printer to keep the connection open while the Printer sends
129 subsequent Event Notifications to the Notification Recipient as they occur as additional Get-
130 Notification operation responses.

131 **3 Model and Operation**

132 In a Subscription Creation Operation, when the "notify-pull-method" attribute is present and has the
133 'ippget' keyword value, the client is requesting that the Printer use the 'ippget' Pull Delivery Method
134 for the Event Notifications associated with the new Subscription Object.

135 When an Event occurs, the Printer **MUST** generate an Event Notification and **MUST** assign it the
136 Event Life. The Printer **MUST** hold an Event Notification for its assigned Event Life.

137 When a Notification Recipient wants to receive Event Notifications for a Subscription object, it
138 performs the Get-Notifications operation supplying the Subscription object's subscription-id, which
139 causes the Printer to return all un-expired Event Notifications held for that Subscription object. If the
140 Notification Recipient has selected the **Event Wait Mode** option to wait for additional Event
141 Notifications, the response to the Get-Notifications request continues indefinitely as the Printer
142 continues to send Event Notifications in the response as Events occur for that Subscription object.

143 When the Notification Recipient requests Event Notifications for per-Job Subscription Objects, the
144 Notification Recipient typically performs the Get-Notifications operation within a second of
145 performing the Subscription Creation operation. Because the Printer **MUST** save Event Notifications
146 for at least 15 seconds (see section 8.1), the Notification Recipient is unlikely to miss any Event
147 Notifications that occur between the Subscription Creation and the Get-Notifications operation.

148 The 'ippget' Delivery Method is designed primarily for (1) a client that wants to get Events (from the
149 job's per-Job Subscription object) for a job that it has submitted and (2) for a privileged client that
150 wants to get all job or printer Events from a per-Printer Subscription object.

151 **4 General Information**

152 If a Printer supports this Delivery Method, the following are its characteristics.

153 **Table 1 – Information about the Delivery Method**

Document Method Conformance Requirement	Delivery Method Realization
1. What is the URL scheme name for the Push Delivery Method or the keyword method name for the Pull Delivery Method?	'ippget' keyword method name
2. Is the Delivery Method REQUIRED, RECOMMENDED or OPTIONAL for an IPP Printer to support?	REQUIRED
3. What transport and delivery protocols does the Printer use to deliver the Event Notification Content, i.e., what is the entire network stack?	IPP with one new operation.
4. Can several Event Notifications be combined into a Compound Event Notification?	Yes.
5. Is the Delivery Method initiated by the Notification Recipient (pull), or by the Printer (push)?	This Delivery Method is a pull method with aspects of a push method, though the Printer does not initiate the operation.
6. Is the Event Notification content Machine Consumable or Human Consumable?	Machine Consumable
7. What section in this document answers the following question? For a Machine Consumable Event Notification, what is the representation and encoding of values defined in section 9.1 of [ipp-ntfy] and the conformance requirements thereof? For a Human Consumable Event Notification, what is the representation and encoding of pieces of information defined in section 9.2 of [ipp-ntfy] and the conformance requirements thereof?	Section 5
8. What are the latency and reliability of the transport and delivery protocol?	Same as IPP and the underlying HTTP transport
9. What are the security aspects of the transport and delivery protocol, e.g., how it is handled in firewalls?	Same as IPP and the underlying HTTP transport and in the same direction, so no new firewall considerations.
10. What are the content length restrictions?	None
11. What are the additional values or pieces of information that a Printer sends in an Event Notification content and the conformance requirements thereof?	None
12. What are the additional Subscription Template and/or Subscription Description attributes and the conformance requirements thereof?	None

13. What are the additional Printer Description attributes and the conformance requirements thereof?	"ipp-event-life" (integer (15: MAX))
--	--------------------------------------

154

155 5 Get-Notifications operation

156 This operation is issued by a client acting in the role of a Notification Recipient requesting the Printer
157 to return all Event Notifications held for the identified Subscription object(s).

158 A Printer **MUST** support this operation, **MUST** accept the request in any state (see [RFC2911]
159 "printer-state" and "printer-state-reasons" attributes), and **MUST** remain in the same state with the
160 same "printer-state-reasons" values.

161 When a Printer performs this operation, it **MUST** return all and only those Event Notifications:

- 162 1. Whose associated Subscription Object's "notify-subscription-id" Subscription Description
163 attribute equals one of the values of the "notify-subscription-ids" (1setOf integer(1:MAX))
164 operation attribute AND
- 165 2. Whose associated Subscription Object's contains the "notify-pull-method" attribute and it has
166 the 'ippget' keyword value AND
- 167 3. Whose "notify-sequence-number" is equal to or greater than the corresponding value of the
168 "notify-sequence-numbers (1setOf integer(1:MAX)) operation attribute, if supplied AND
- 169 4. Whose Event Life has not yet expired AND
- 170 5. Where the Notification Recipient client has read-access rights to the identified Subscription
171 Object (see *Access Rights* paragraph below).

172 The Notification Recipient client **MUST** either: (a) request **Event Wait Mode** by supplying the
173 "notify-wait" operation attribute with a 'true' value or (b) suppress Event Wait Mode by omitting the
174 "notify-wait" operation attribute or by supplying it with a 'false' value. In order to terminate Event
175 Wait Mode subsequently, the Notification Recipient client **MUST** close the connection. In order to
176 terminate **Event Wait Mode**, the Printer **MUST** either (a) return the "notify-get-interval" operation
177 attribute in a Get-Notifications response (RECOMMENDED behavior) or (b) close the connection.
178 The "notify-get-interval" operation attributes tells the Notification Recipient how long to wait before
179 trying a subsequent Get-Notifications request.

180 *Access Rights*: The authenticated user (see [RFC2911] section 8.3) performing this operation **MUST**
181 be (1) the owner of each Subscription Object identified by the "notify-subscription-ids" operation
182 attribute (see section 5.1.1), (2) an operator or administrator of the Printer (see [RFC2911] Sections 1
183 and 8.5), or (3) be otherwise authorized by the Printer's administrator-configured security policy to
184 request Event Notifications from the target Subscription Object(s). Otherwise, the IPP Printer **MUST**
185 reject the operation and return: 'client-error-forbidden', 'client-error-not-authenticated', or 'client-
186 error-not-authorized' status code as appropriate. Furthermore, the Printer's security policy **MAY** limit

187 the attributes returned by the Get-Notifications operation, in a manner similar to the Get-Job-Attributes
188 operation (see [RFC2911] end of section 3.3.4.2).

189 5.1 Get-Notifications Request

190 The following groups of attributes are part of the Get-Notifications Request:

191 Group 1: Operation Attributes

192 Natural Language and Character Set:

193 The "attributes-charset" and "attributes-natural-language" attributes as described in
194 [RFC2911] section 3.1.4.1.

195

196 Target:

197 The "printer-uri" (uri) operation attribute which is the target for this operation as described in
198 [RFC2911] section 3.1.5.

199

200 Requesting User Name:

201 The "requesting-user-name" (name(MAX)) attribute SHOULD be supplied by the client as
202 described in [RFC2911] section 8.3.

203

204 5.1.1 notify-subscription-ids (1setOf integer(1:MAX))

205 This attribute identifies one or more Subscription objects for which Events are requested. The
206 client MUST supply this attribute with at least one value. The Printer object MUST support
207 this attribute with multiple values.

208

209 If no Subscription Object exists with the supplied identifier or the identified Subscription
210 Object does not contain the "notify-pull-method" attribute with the 'ippget' keyword value,
211 the Printer MUST return the 'client-error-not-found' status code.

212

213 Note: The name of both the "notify-subscription-ids" and "notify-sequence-
214 numbers" end in 's', since they are multi-valued. However, there are other
215 occurrences of these attribute names without the 's' that are single valued.

216 5.1.2 notify-sequence-numbers (1setOf integer(1:MAX))

217 This attribute specifies one or more lowest Event Notification sequence number values for the
218 Subscription objects identified by the corresponding values of the "notify-subscription-ids"
219 operation attribute. The Notification Recipient SHOULD supply this attribute and the
220 number of values SHOULD be the same as the number of values of the "notify-subscriptions-
221 ids" attribute. The Printer MUST support this attribute with multiple values.

222

223 The Printer MUST NOT return Notification Events with lower sequence numbers for the
224 corresponding Subscription object. Therefore, by supplying the proper values for this
225 attribute the Notification Recipient can prevent getting the same Event Notifications from a

226 Subscription object that were returned on a previous Get-Notifications request. The
227 Notification Recipient SHOULD remember the highest "notify-sequence-number" value
228 returned for each Subscription object requested and SHOULD pass that value for each
229 requested Subscription object on the next Get-Notifications request.

230
231 If the Notification Recipient supplies fewer values for this attribute (including omitting this
232 attribute) than for the "notify-subscription-ids" operation attribute, the Printer assumes a '1'
233 value for each missing value. A value of '1' causes the Printer to return any un-expired Event
234 Notification for that Subscription object, since '1' is the lowest possible sequence number. If
235 the Notification Recipient supplies more values for this attribute than the number of values
236 for the "notify-subscription-ids" operation attribute, the Printer ignores the extra values.

237
238 Note: If a Notification Recipient performs two consecutive Get-Notifications operations with
239 the same value for "notify-sequence-number" (or omits the attribute), the time stamp of the
240 first Event Notification in the second Get-Notifications Response may be less than the time
241 stamp of the last Event Notification in the first Get-Notification Response. This happens
242 because the Printer sends all unexpired Event Notification with a sequence number equal or
243 higher according to the ordering specified in [ipp-ntfy] and some Event Notifications from the
244 first Get-Notifications operation may not have expired by the time the second Get-
245 Notifications operation occurs.

246

247 5.1.3 notify-wait (boolean)

248 This value indicates whether or not the Notification Recipient wants **Event Wait Mode**. The
249 client MAY supply this attribute. The Printer object MUST support both values of this
250 attribute.

251
252 If the client supplies the 'false' value or omits this attribute, the client is not requesting **Event**
253 **Wait Mode**. If the value is 'true', the client is requesting **Event Wait Mode**. See the
254 beginning of section 5.2 for the rules for **Event Wait Mode**.

255 5.2 Get-Notifications Response

256 The Printer has the following options for responding to a Get-Notifications Request:

- 257 1. The Printer can reject the request and return the 'server-error-busy' status code, if the Printer is
258 too busy to accept this operation at this time. In this case, the Printer MUST return the "get-
259 notify-interval" operation attribute to indicate when the client SHOULD try again.
- 260 2. If the Notification Recipient did not request **Event Wait Mode** ("notify-wait-mode" = 'false'
261 or omitted), the Printer MUST return immediately whatever Event Notifications it currently
262 holds in the requested Subscription object(s) and MUST return the "notify-get-interval"
263 operation attribute with number of seconds from now at which the Notification Recipient
264 SHOULD repeat the Get-Notifications Request to get future Event Notifications.

265 3. If the Notification Recipient requested **Event Wait Mode** (“notify-wait-mode” = ‘true’), the
266 Printer MUST return immediately whatever Event Notifications it currently holds in the
267 requested Subscription object(s) and MUST continue to return Event Notifications as they
268 occur until all of the requested Subscription Objects are canceled. A Subscription Object is
269 canceled either via the Cancel-Subscription operation or by the Printer (e.g., the Subscription
270 Object is canceled when the associated Job completes and is no longer in the Job Retention or
271 Job History phase - see the “ippget-event-life (integer(15:MAX))” attribute discussion in
272 section 8.1).

273 However, the Printer MAY decide to terminate **Event Wait Mode** at any time, including in the
274 first response. In this case the Printer MUST return the “notify-get-interval” operation
275 attribute. This attribute indicates that the Printer wishes to leave **Event Wait Mode** and the
276 number of seconds in the future that the Notification Recipient SHOULD try the Get-
277 Notifications operation again. The Notification Recipient MUST accept this response and
278 MUST disconnect. If the Notification Recipient does not disconnect, the Printer SHOULD do
279 so.

280 From the Notification Recipient’s view, the response appears as an initial burst of data, which includes
281 the Operation Attributes Group and one Event Notification Attributes Group per Event Notification
282 that the Printer is holding. After the initial burst of data, if the Notification Recipient has selected the
283 **Event Wait Mode** option to wait for additional Event Notifications, the Notification Recipient
284 receives occasional Event Notification Attribute Groups. Proxy servers may delay some Event
285 Notifications or cause time-outs to occur. The client MUST be prepared to perform the Get-
286 Notifications operation again when time-outs occur.

287 Each attribute is encoded using the IPP rules for encoding attributes [RFC2910] and MAY be encoded
288 in any order. Note: the Get-Jobs response in [RFC2911] acts as a model for encoding multiple groups
289 of attributes. See section 11 for the encoding and transport rules.

290 The following groups of attributes are part of the Get-Notifications Response:

291 Group 1: Operation Attributes

292 Status Message:

293 In addition to the REQUIRED status code returned in every response, the response
294 OPTIONALLY includes a “status-message” (text(255)) and/or a “detailed-status-message”
295 (text(MAX)) operation attribute as described in [RFC2911] sections 13 and 3.1.6.

296
297 The Printer can return any status codes defined in [RFC2911]. If the status code is not
298 ‘successful-xxx’, the Printer MUST NOT return any Event Notification Attribute groups.
299 The following is a description of the important status codes:

300
301 **successful-ok:** the response contains all Event Notification associated with the specified
302 subscription-ids that had been supplied in the “notify-subscription-ids” operation
303 attribute in the request. If the requested Subscription Objects have no associated
304 Event Notification, the response MUST contain zero Event Notifications.

305 **successful-ok-events-complete:** indicate when this return is the last return for all
306 Subscription objects that match the request, whether or not there are Event
307 Notifications being returned. This condition occurs for **Event Wait Mode** with
308 Notification Recipients waiting for responses when the Subscription Object is: (1)
309 canceled with a Cancel-Subscription operation, (2) deleted when the Per-Printer
310 Subscription lease time expires, or (3) when the 'job-completed' event occurs for a
311 Per-Job Subscription. This condition also occurs for a Get-Notifications request that
312 a Notification Recipient makes after the job completes, but before the Event Life
313 expires. See section 10.1.

314 **client-error-not-found:** The Printer has no Subscription Object's whose "notify-
315 subscription-id" attribute equals any of the values of the "notify-subscription-ids"
316 operation attribute supplied or the identified Subscription Object does not contain the
317 "notify-pull-method" attribute with the 'ippget' keyword value.

318 **server-error-busy:** The Printer is too busy to accept this operation. The Printer
319 SHOULD return the "notify-get-interval" operation attribute in the Operation
320 Attributes of the response, then the Notification Recipient SHOULD wait for the
321 number of seconds specified by the "notify-get-interval" operation attribute before
322 performing this operation again. If the "notify-get-interval" Operation Attribute is
323 not present, the Notification Recipient SHOULD use the normal network back-off
324 algorithms for determining when to perform this operation again.

326 Natural Language and Character Set:

327 The "attributes-charset" and "attributes-natural-language" attributes as described in
328 [RFC2911] section 3.1.4.2.

329
330 The Printer MUST use the values of "notify-charset" and "notify-natural-language",
331 respectively, from one Subscription Object associated with the Event Notifications in this
332 response.

333
334 Normally, there is only one matched Subscription Object, or the value of the "notify-charset"
335 and "notify-natural-language" attributes is the same in all Subscription Objects. If not, the
336 Printer MUST pick one Subscription Object from which to obtain the value of these
337 attributes. The algorithm for picking the Subscription Object is implementation dependent.
338 The choice of natural language is not critical because 'text' and 'name' values can override
339 the "attributes-natural-language" operation attribute. The Printer's choice of charset is
340 critical because a bad choice may leave it unable to send some 'text' and 'name' values
341 accurately.

343 **5.2.1 notify-get-interval (integer(0:MAX))**

344 The value of this operation attribute is the number of seconds that the Notification Recipient
345 SHOULD wait before trying the Get-Notifications operation again. The Printer MUST return
346 this operation attribute if: (1) it is too busy to return events, (2) the Notification Recipient
347 client did *not* request **Event Wait Mode**, or (3) the Printer is terminating Event Wait Mode.
348 The client MUST accept this attribute and SHOULD re-issue the Get-Notifications operation

349 (with or without “notify-wait” = ‘true’) the indicated number of seconds in the future in order
 350 to get more Event Notifications This value is intended to help the client be a good network
 351 citizen.

352
 353 The value of this attribute **MUST** be at least as large as the value of the Printer’s “ippget-
 354 event-life” Printer Description attribute (see section 8.1). The Printer **MAY** return a value
 355 that is larger than the value of the “ippget-event-life” Printer Description attribute provided
 356 that the Printer increases the Event Life for this Subscription object, so that Notification
 357 Recipients taking account of the larger value and polling with a longer interval will *not* miss
 358 events. Note; implementing such an algorithm requires some hidden attributes in the
 359 Subscription object that are IMPLEMENTATION DEPENDENT.

360
 361 If the Printer wants to remain in **Event Wait Mode**, then the Printer **MUST NOT** return this
 362 attribute in the response.

363
 364 Here is a complete table of combinations of “notify-wait”, “status-code”, “notify-get-
 365 interval”, and Event Notification Attributes Groups for Get-Notification initial (Wait and No
 366 Wait) Responses and subsequent **Event Wait Mode** Responses (which may be staying in
 367 **Event Wait Mode** or may be requesting the Notification Recipient to leave **Event Wait**
 368 **Mode**):

369

370

Table 2 - Combinations of “notify-wait”, “status-code”, and “notify-get-interval”

client sends: “notify-wait”	Printer returns: “status-code”	Printer returns: “notify-get- interval”	Event Notification Attribute Groups
1. ‘false’*	‘successful-ok’	MUST return N	maybe
2. ‘false’*	‘not-found’	MUST NOT	MUST NOT
3. ‘false’*	‘busy’	MUST return N	MUST NOT
4. ‘false’*	‘events-complete’	MUST NOT	‘job-completed’
5. ‘true’	‘successful-ok’	MUST NOT	MUST
6. ‘true’	‘successful-ok’	MUST return N	maybe
7. ‘true’	‘not-found’	MUST NOT	MUST NOT
8. ‘true’	‘busy’	MUST return N	MUST NOT
9. ‘true’	‘events-complete’	MUST NOT	‘job-completed’ or maybe other

371

* ‘false’ or client omits the “notify-wait” attribute.

372

Explanation:

373

374

375

1-4: client does *not* request **Event Wait Mode**

376

5-9: client requests **Event Wait Mode**

377

2,7: Subscription object not found, or was canceled earlier; client should NOT try again.

378

3,8: server busy, tells client to try later; client should try again in N seconds.

379 4: client polled after job completed, but before Event Life expired, and got the 'job-
380 completed' event, so the client shouldn't bother trying again; client should NOT try again
381 later.
382 5: Printer returns one or more Event Notifications and is OK to stay in **Event Wait Mode**;
383 the client waits for more Event Notifications to be returned.
384 6: Printer wants to leave **Event Wait mode**. Can happen on the first response (with or
385 without Event Notifications) or happen on a subsequent response with or without Event
386 Notifications; the client SHOULD try again in N seconds.
387 9: Printer either (1) returns 'job-completed' event or (2) the Subscription Object was
388 canceled by either a Cancel-Job or a Per-Printer Subscription expired without being renewed.
389 For case (1), at least one Event Notification MUST be returned, while for case (2), it is
390 unlikely that any Event Notifications are returned; the client should NOT try again.

391 **5.2.2 printer-up-time (integer(1:MAX))**

392 The value of this attribute is the Printer's "printer-up-time" attribute at the time the Printer
393 sends this response. The Printer MUST return this attribute. Because each Event Notification
394 also contains the value of this attribute when the event occurred, the value of this attribute lets
395 a Notification Recipient know when each Event Notification occurred relative to the time of
396 this response.
397

398 Group 2: Unsupported Attributes

399 See [RFC2911] section 3.1.7 for details on returning Unsupported Attributes.
400

401 Group 3 through N: Event Notification Attributes

403 The Printer responds with one Event Notification Attributes Group per matched Event
404 Notification. The entire response is considered a single Compound Event Notification (see
405 [ipp-ntfy]). The matched Event Notifications are all un-expired Event Notification associated
406 with the matched Subscription Objects and MUST follow the "Event Notification Ordering"
407 requirements for Event Notifications within a Compound Event Notification specified in [ipp-
408 ntfy] section 9. In other words, the Printer MUST order these Event Notification groups in
409 ascending time stamp (and sequence number) order for a Subscription object. If Event
410 Notifications for multiple Subscription objects are being returned, the Notification Events for
411 the next Subscription object follow in ascending time stamp order, etc.
412

413 Each Event Notification Group MUST contain all of attributes specified in section 9.1
414 ("Content of Machine Consumable Event Notifications") of [ipp-ntfy] with exceptions
415 denoted by asterisks in the tables below.
416

417 The tables below are copies of the tables in section 9.1 ("Content of Machine Consumable
418 Event Notifications") of [ipp-ntfy] except that each cell in the "Sends" column is a "MUST".
419

420 If more than one Event Notification is being returned and the status of each is not the same,
 421 then the Printer MUST return a “notify-status-code” attribute in each Event Notification
 422 Attributes group to indicate the differing status values.
 423

424 For an Event Notification for all Events, the Printer includes the attributes shown in Table 3.

425 **Table 3 – Attributes in Event Notification Content**

Source Value	Sends	Source Object
notify-subscription-id (integer(1:MAX))	MUST	Subscription
notify-printer-uri (uri)	MUST	Subscription
notify-subscribed-event (type2 keyword)	MUST	Event Notification
printer-up-time (integer(1:MAX)) *	MUST	Printer
printer-current-time (dateTime)	MUST **	Printer
notify-sequence-number (integer (0:MAX))	MUST	Subscription
notify-charset (charset)	MUST	Subscription
notify-natural-language (naturalLanguage)	MUST	Subscription
notify-user-data (octetString(63))	MUST ***	Subscription
notify-text (text)	MUST	Event Notification
attributes from the “notify-attributes” attribute	MUST ****	Printer
attributes from the “notify-attributes” attribute	MUST ****	Job
attributes from the “notify-attributes” attribute	MUST ****	Subscription

426 * As specified in [ipp-ntfy] section 9, the value of the “printer-up-time” attribute sent in each
 427 Event Notification MUST be the time at which the Event occurred, not the time at which the
 428 Event Notification was sent.
 429

430 ** The Printer MUST send the “printer-current-time” attribute if and only if it supports the
 431 “printer-current-time” attribute on the Printer object.
 432

433 *** If the associated Subscription Object does not contain a “notify-user-data” attribute, the
 434 Printer MUST send an octet-string of length 0.
 435

436 **** If the “notify-attributes” attribute is present on the Subscription Object, the Printer
 437 MUST send all attributes specified by the “notify-attributes” attribute. Note: if the Printer
 438 doesn’t support the “notify-attributes” attribute, it is not present on the associated
 439 Subscription Object.
 440

441 For Event Notifications for Job Events, the Printer includes the additional attributes shown in
 442 Table 4.
 443

444

Table 4 – Additional Attributes in Event Notification Content for Job Events

Source Value	Sends	Source Object
job-id (integer(1:MAX))	MUST	Job
job-state (type1 enum)	MUST	Job
job-state-reasons (1setOf type2 keyword)	MUST	Job
job-impressions-completed (integer(0:MAX))	MUST *	Job

445

446

447

448

* The Printer MUST send the “job-impressions-completed” attribute in an Event Notification only for the combinations of Events and Subscribed Events shown in Table 5.

449

Table 5 – Combinations of Events and Subscribed Events for “job-impressions-completed”

Job Event	Subscribed Job Event
‘job-progress’	‘job-progress’
‘job-completed’	‘job-completed’
‘job-completed’	‘job-state-changed’

450

451

452

453

For Event Notification for Printer Events, the Printer includes the additional attributes shown in Table 6.

454

Table 6 – Additional Attributes in Event Notification Content for Printer Events

Source Value	Sends	Source Object
printer-state (type1 enum)	MUST	Printer
printer-state-reasons (1setOf type2 keyword)	MUST	Printer
printer-is-accepting-jobs (boolean)	MUST	Printer

455

6 Additional Information about Subscription Template Attributes

456

457

458

459

The ‘ippget’ Delivery Method does not define any addition Subscription Template attributes. The ‘ippget’ Delivery Method has the same conformance requirements for Subscription Template attributes as defined in [ipp-ntfy]. This section defines additional information about Subscription Template attributes defined in [ipp-ntfy].

460

6.1 notify-pull-method (type2 keyword)

461

462

463

This Subscription Template attribute identifies the Pull Delivery Method to be used for the Subscription Object (see [ipp-ntfy]). In order to support the ‘ippget’ Pull Delivery Method defined in this document, the Printer MUST support this attribute with the following keyword value:

464 'ippget': indicates that the 'ippget' Pull Delivery Method is to be used for this Subscription Object.

465 7 Subscription Description Attributes

466 The 'ippget' Delivery Method has the same conformance requirements for Subscription Description
467 attributes as defined in [ipp-ntfy]. The 'ippget' Delivery Method does not define any addition
468 Subscription Description attributes.

469 8 Additional Printer Description Attributes

470 This section defines additional Printer Description attributes for use with the 'ippget' Delivery
471 Method.

472 8.1 ippget-event-life (integer(15:MAX))

473 This Printer Description attribute specifies the Event Life value that the Printer assigns to each Event,
474 i.e., the number of seconds after an Event occurs during which a Printer will return that Event in an
475 Event Notification in a Get-Notifications response. After the Event Life expires for the Event, the
476 Printer MAY no longer return an Event Notification for that Event in a Get-Notifications response.

477 The Printer MUST support this attribute if it supports the 'ippget' Delivery Method. The value MUST
478 be 15 or more (at least 15 seconds) and 60 (seconds) is the RECOMMENDED value to align with the
479 PWG Job Monitoring MIB [RFC2707] jmGeneralJobPersistence and jmGeneralAttributePersistence
480 objects.

481 For example, assume the following:

- 482 1. a client performs a Job Creation operation that creates a Subscription Object associated with the
483 'ippget' Delivery Method, AND
- 484 2. an Event associated with the new Job occurs immediately after the Subscription Object is
485 created, AND
- 486 3. the same client or some other client performs a Get-Notifications operation such that the client
487 is *connected* N seconds after the Job Creation operation.

488 Then, if N is less than the value of this attribute, the client(s) performing the Get-Notifications
489 operations can expect not to miss any Event-Notifications, barring some unforeseen lack of memory
490 space in the Printer. Note: The client MUST initiate the Get-Notifications a time that is sufficiently
491 less than N seconds to account for network latency so that it is *connected* to the Printer before N
492 seconds elapses.

493 If a Printer supports the 'ippget' Delivery Method, it MUST keep 'completed', 'canceled', or 'aborted'
494 Job objects in the Job Retention and/or Job History phases for at least as long as this attribute's value.
495 The Printer MAY retain jobs longer than this value. See [RFC2911] section 4.3.7.1 and the discussion
496 in [ipp-ntfy] 'job-completed' event) that explains that a Notification Recipients can query the Job after

497 receiving a 'job-completed' Event Notification in order to find out other information about the job that
 498 is 'completed', 'aborted', or 'canceled'. However, this attribute has no effect on the Cancel-
 499 Subscription operation which deletes the Subscription object immediately, whether or not it contain the
 500 "notify-pull-method" attribute with the 'ippget' keyword value. Immediately thereafter, subsequent
 501 Get-Notifications Responses MUST NOT contain Event Notifications associated with the canceled
 502 Subscription object.

503 9 New Values for Existing Printer Description Attributes

504 This section defines additional values for existing Printer Description attributes defined in [ipp-ntfy].

505 9.1 notify-pull-method-supported (1setOf type2 keyword)

506 The following keyword value for the "notify-pull-method-supported" attribute is added in order to
 507 support the new Delivery Method defined in this document:

508 'ippget' - The IPP Notification Pull Delivery Method defined in this document.

509 9.2 operations-supported (1setOf type2 enum)

510 Table 7 lists the "operation-id" value defined in order to support the new Get-Notifications operation
 511 defined in this document.

512 **Table 7 – Operation-id assignments**

Value	Operation Name
0x001C	Get-Notifications

513

514 10 New Status Codes

515 The following status code is defined as an extension for this Delivery Method and is returned as the
 516 status code of the Get-Notifications operation in Group 1 or Group 3 to N (see section 5.2).

517 10.1 successful-ok-events-complete (0x0007)

518 The Printer MUST return the 'successful-ok-events-complete' status code to indicate when this Get-
 519 Notifications response is the last response for a Subscription object, whether or not there are Event
 520 Notifications being returned. This condition occurs for **Event Wait Mode** with Notification
 521 Recipients waiting for responses when the Subscription Object is: (1) canceled with a Cancel-
 522 Subscription operation, (2) deleted when the Per-Printer Subscription lease time expires, or (3) when
 523 the 'job-completed' event occurs for a Per-Job Subscription. This condition also occurs for a Get-

524 Notifications request that a Notification Recipient makes after the job completes, but before the Event
525 Life expires.

526 11 Encoding and Transport

527 This section defines the encoding and transport considerations for this Delivery Method based on
528 [RFC2910].

529 The encoding of a Get-Notifications Response is modeled the Get-Jobs Response (see [RFC2911]). In
530 a Get-Notifications Response, each Event Notification Attributes Group **MUST** start with an 'event-
531 notification-attributes-tag' (see the section "Encodings of Additional Attribute Tags" in [ipp-ntfy]),
532 and end with an 'end-of-attributes-tag'. In addition, for **Event Wait Mode** the multi-part/related is
533 used to separate each multiple response (in time) to a single Get-Notifications Request.

534 The Printer returns Get-Notification Response as follows:

- 535 1. If the Notification Recipient client did not request **Event Wait Mode** ("notify-wait" = 'false'
536 or omitted), the Printer ends the response with an 'end-of-attributes-tag' (see [RFC2911] Get-
537 Jobs encoding) as with any operation response.
- 538 2. If the Notification Recipient client requests **Event Wait Mode** ("notify-wait" = 'true') and the
539 Printer wishes to honor the request, the Printer **MUST** return the response as an application/ipp
540 part inside a multi-part/related MIME media type. When one or more additional Events occur,
541 the Printer returns each as an additional Event Notification Group using a separate
542 application/ipp part under the multi-part/related type.
- 543 3. If the client requested **Event Wait Mode** ("notify-wait" = 'true'), but the Printer does not wish
544 to honor the request in the initial response but wants the client explicitly poll for Event
545 Notifications, the Printer **MUST** return the "notify-get-interval" operation attribute (see section
546 5.2.1). The Printer returns the response as an application/ipp part which **MAY** be inside an
547 multi-part/related type. The client **MUST** accept this response and re-issue the Get-
548 Notifications request in the future indicated by the value of the "notify-get-interval" attribute
549 value..
- 550 4. If the client requested **Event Wait Mode** ("notify-wait" = 'true'), and the Printer initially
551 honored the request, but later wishes to leave **Event Wait Mode**, the Printer **MUST** return the
552 "notify-get-interval" operation attribute (see section 5.2.1). The Printer returns the response as
553 an application/ipp part which **MUST** be inside an multi-part/related type.

554 Note: All of the above is without either the Printer or the Notification Recipient closing the
555 connection. In fact, the connection **SHOULD** remain open for any subsequent IPP operations.
556 However, either the Notification Recipient or the Printer can abnormally terminate by closing the
557 connection. But, if the Printer closes the connection too soon after returning the response, the client
558 may not receive the response.

559 The Printer **MAY** chunk the responses, but this has no significance to the IPP semantics.

560 Note: While HTTP/1.1 allows a proxy to collect chunked responses over a period of time and return
 561 them back as a single un-chunked response (with a Content Length instead). However, in practice no
 562 proxy wants to have an infinite buffer. Also no proxy want to hold up responses, since user would be
 563 furious.

564 This notification delivery method uses the IPP transport and encoding [RFC2910] for the Get-
 565 Notifications operation with the following extension allocated in [ipp-ntfy]:

566 **Table 8 – The "event-notification-attributes-tag" value**

Tag Value (Hex)	Meaning
0x07	"event-notification-attributes-tag"

567

568 12 Conformance Requirements

569 This section lists the conformance requirements for clients and Printers.

570 12.1 Conformance for IPP Printers

571 It is OPTIONAL for a Printer to support IPP Notifications as defined in [ipp-ntfy]. However, if a
 572 Printer supports IPP Notifications, the Printer MUST support the 'ippget' Delivery Method as defined
 573 in this document as one of its Delivery Methods. IPP Printers that conform to this specification:

- 574 1. MUST meet the conformance requirements defined in [ipp-ntfy] for a Pull Delivery Method;
- 575 2. MUST support the Get-Notifications operation defined in section 5, including **Event Wait**
 576 **Mode**;
- 577 3. MUST support the Subscription Template object attributes as defined in section 6;
- 578 4. MUST support the Subscription Description object attributes as defined in section 7;
- 579 5. MUST support the "ippget-event-life" Printer Description attribute defined in section 8.1,
 580 including retaining jobs in the Job Retention and/or Job History phases for at least as long as
 581 the value specified by the Printer's "ippget-event-life";
- 582 6. MUST support the additional values for IPP/1.1 Printer Description attributes defined in
 583 section 9;
- 584 7. MUST support the 'successful-ok-events-complete' status code as described in section 10.1;
- 585 8. MUST listen for the IPP Get-Notifications operation requests on IANA-assigned well-known
 586 port 631, unless explicitly configured by system administrators or site policies;

587 9. SHOULD NOT listen for IPP Get-Notifications operation requests on any other port, unless
588 explicitly configured by system administrators or site policies.

589 10. MUST meet the security conformance requirements as stated in section 18.4.

590 12.2 Conformance for IPP Clients

591 It is OPTIONAL for an IPP Client to support IPP Notifications as defined in [ipp-ntfy]. However, if a
592 client supports IPP Notifications, the client MUST support the 'ippget' Delivery Method as defined in
593 this document as one of its Delivery Methods. IPP Clients that conform to this specification:

594 1. MUST create Subscription Objects by sending Subscription Creation operation requests
595 containing the "notify-pull-method" attribute (as opposed to the "notify-recipient-uri" attribute)
596 using the 'ippget' keyword value (see sections 6.1 and 15.2);

597 2. MUST send IPP Get-Notifications operation requests (see section 5.1) via the port specified in
598 the associated 'ipp' URL (if present) or otherwise via IANA assigned well-known port 631;

599 3. MUST convert the associated 'ipp' URLs for use in IPP Get-Notifications operation to their
600 corresponding 'http' URL forms for use in the HTTP layer according to the rules in section 5
601 "IPP URL Scheme" in [RFC2910].

602 4. MUST meet the security conformance requirements as stated in section 18.5.

603 13 Normative References

604 [ipp-ntfy]
605 Herriot, R., and T. Hastings, "Internet Printing Protocol/1.1: IPP Event Notifications and
606 Subscriptions", <draft-ietf-ipp-not-spec-11.txt>, February 21, 2003.

607 [RFC2119]
608 S. Bradner, "Key words for use in RFCs to Indicate Requirement Levels", RFC 2119, March 1997

609 [RFC2910]
610 Herriot, R., Butler, S., Moore, P., and R. Tuner, "Internet Printing Protocol/1.1: Encoding and
611 Transport", RFC 2910, September 2000.

612 [RFC2911]
613 deBry, R., Hastings, T., Herriot, R., Isaacson, S., and P. Powell, "Internet Printing Protocol/1.1:
614 Model and Semantics", RFC 2911, September 2000.

615 14 Informative References

616 [notify-req]

617 Hastings, T., deBry, R., and H. Lewis, "Internet Printing Protocol (IPP): Requirements for IPP
618 Notifications", <draft-ietf-ipp-not-06.txt>, work in progress, July 17, 2001.

619 [RFC2565]

620 Herriot, R., Butler, S., Moore, P., and R. Turner, "Internet Printing Protocol/1.0: Encoding and
621 Transport", RFC 2565, April 1999.

622 [RFC2566]

623 R. deBry, T. Hastings, R. Herriot, S. Isaacson, and P. Powell, "Internet Printing Protocol/1.0:
624 Model and Semantics", RFC 2566, April 1999.

625 [RFC2567]

626 Wright, D., "Design Goals for an Internet Printing Protocol", RFC 2567, April 1999.

627 [RFC2568]

628 Zilles, S., "Rationale for the Structure and Model and Protocol for the Internet Printing Protocol",
629 RFC 2568, April 1999.

630 [RFC2569]

631 Herriot, R., Hastings, T., Jacobs, N., Martin, J., "Mapping between LPD and IPP Protocols", RFC
632 2569, April 1999.

633 [RFC2616]

634 R. Fielding, J. Gettys, J. Mogul, H. Frystyk, L. Masinter, P. Leach, T. Berners-Lee, "Hypertext
635 Transfer Protocol - HTTP/1.1", RFC 2616, June 1999.

636 [RFC2707]

637 Bergman, R., Hastings, T., Isaacson, S., and H. Lewis, "Job Monitoring MIB - V1.0", November
638 1999.

639 [RFC3196]

640 Hastings, T., Manros, C., Zehler, P., Kugler, C., and H. Holst, "Internet Printing Protocol/1.1:
641 Implementer's Guide", RFC3196, November 2001.

642 15 IANA Considerations

643 This section contains the exact information for IANA to add to the IPP Registries according to the
644 procedures defined in RFC 2911 [RFC2911] section 6. The resulting registrations will be published in
645 the <http://www.iana.org/assignments/ipp-registrations> registry.

646 *Note to RFC Editors: Replace RFC NNNN below with the RFC number for this document, so that it*
647 *accurately reflects the content of the information for the IANA Registry.*

648 15.1 Attribute Registrations

649 The following table lists the attributes defined in this document. This is to be registered according to
650 the procedures in RFC 2911 [RFC2911] section 6.2.

651	Printer Description attributes:	Reference	Section
652	-----	-----	-----
653	ippget-event-life (integer(15:MAX))	[RFCNNNN]	8.1
654			

655 15.2 Delivery Method and Additional keyword attribute value registrations for existing 656 attributes

657 This section lists additional keyword attribute value registrations for use with existing attributes
658 defined in other documents. These are to be registered according to the procedures in RFC 2911
659 [RFC2911] section 6.1. According to [ipp-ntfy] section 24.7.3, Pull Delivery Method registrations are
660 the keyword attribute value registrations for the "notify-pull-method" and "notify-pull-method-
661 supported" attributes.

662	Attribute (attribute syntax)	Reference	Section
663	Values	-----	-----
664	-----	-----	-----
665	notify-pull-method (type2 keyword)	[ipp-ntfy]	5.3.2
666	notify-pull-method-supported (1setOf type2 keyword)	[ipp-ntfy]	5.3.2.1
667		[RFCNNNN]	9.1
668	ippget		
669			

670 15.3 Additional enum attribute values

671 The following table lists the enum attribute values defined in this document. These are to be registered
672 according to the procedures in RFC 2911 [RFC2911] section 6.1.

673	Attribute (attribute syntax)	Reference	Section
674	Value Name	-----	-----
675	-----	-----	-----
676	operations-supported (type2 enum)	[RFC2911]	4.4.15
677	0x001C Get-Notifications	[RFCNNNN]	9.2
678			

679 15.4 Operation Registrations

680 The following table lists the operations defined in this document. This is to be registered according to
681 the procedures in RFC 2911 [RFC2911] section 6.4.

682	Operations:	Reference	Section
683	-----	-----	-----
684	Get-Notifications	[RFCNNNN]	5
685			

686 15.5 Status code Registrations

687 The following table lists the status codes defined in this document. This is to be registered according
688 to the procedures in RFC 2911 [RFC2911] section 6.6.

689	Status codes:	Reference	Section
690	-----	-----	-----
691	successful-ok-events-complete (0x0007)	[RFCNNNN]	10.1
692			

693 16 Intellectual Property

694 The IETF takes no position regarding the validity or scope of any intellectual property or other rights
695 that might be claimed to pertain to the implementation or use of the technology described in this
696 document or the extent to which any license under such rights might or might not be available; neither
697 does it represent that it has made any effort to identify any such rights. Information on the IETF's
698 procedures with respect to rights in standards-track and standards-related documentation can be found
699 in RFC 2028. Copies of claims of rights made available for publication and any assurances of licenses
700 to be made available, or the result of an attempt made to obtain a general license or permission for the
701 use of such proprietary rights by implementers or users of this specification can be obtained from the
702 IETF Secretariat.

703 The IETF invites any interested party to bring to its attention any copyrights, patents or patent
704 applications, or other proprietary rights which may cover technology that may be required to practice
705 this standard. Please address the information to the IETF Executive Director.

706 17 Internationalization Considerations

707 The IPP Printer MUST localize the "notify-text" attribute as specified in section 14 of [ipp-ntfy].

708 In addition, when the client receives the Get-Notifications response, it is expected to localize the
709 attributes that have the 'keyword' attribute syntax according to the charset and natural language
710 requested in the Get-Notifications request.

711 18 Security Considerations

712 The IPP Model and Semantics document [RFC2911 section 8] discusses high-level security
713 requirements (Client Authentication, Server Authentication and Operation Privacy). The IPP
714 Transport and Encoding document [RFC2910 section 8] discusses the security requirements for the
715 IPP protocol. Client Authentication is the mechanism by which the client proves its identity to the
716 server in a secure manner. Server Authentication is the mechanism by which the server proves its
717 identity to the client in a secure manner. Operation Privacy is defined as a mechanism for protecting
718 operations from eavesdropping.

719 The 'ippget' Delivery Method with its Get-Notifications operations leverages the security mechanism
720 that are used in IPP/1.1 [RFC2910 and RFC2911] without adding any additional security mechanisms
721 in order to maintain the same security support as IPP/1.1.

722 The access control model for the Get-Notifications operation defined in this document is the same as
723 the access control model for the Get-Job-Attributes operation (see [RFC2911] section 3.2.6). The
724 primary difference is that a Get-Notifications operation is directed at Subscription Objects rather than
725 at Job objects, and a returned attribute group contains Event Notification attributes rather than Job
726 object attributes.

727 **18.1 Notification Recipient client access rights**

728 The Notification Recipient client MUST have the following access rights to the Subscription object(s)
729 targeted by the Get-Notifications operation request:

730 The authenticated user (see [RFC2911] section 8.3) performing this operation MUST be (1) the
731 owner of each Subscription Object identified by the "notify-subscription-ids" operation attribute
732 (see section 5.1.1), (2) an operator or administrator of the Printer (see [RFC2911] Sections 1 and
733 8.5), or (3) be otherwise authorized by the Printer's administrator-configured security policy to
734 request Event Notifications from the target Subscription Object(s). Furthermore, the Printer's
735 security policy MAY limit the attributes returned by the Get-Notifications operation, in a manner
736 similar to the Get-Job-Attributes operation (see [RFC2911] end of section 3.3.4.2).

737 **18.2 Printer security threats**

738 Because the Get-Notifications operation is sent in the same direction as Job Creation operations,
739 usually by the same client, this Event Notification Delivery Method poses no additional authentication,
740 authorization, privacy, firewall, or port assignment issues above those for the IPP Get-Job-Attributes
741 and Get-Printer-Attributes operations (see [RFC2911] sections 3.2.6 and 3.2.5).

742 **18.3 Notification Recipient security threats**

743 Unwanted Events Notifications (spam): Unlike Push Event Notification Delivery Methods in which
744 the IPP Printer initiates the Event Notification, with the Pull Delivery Method defined in this
745 document, the Notification Recipient is the client who initiates the Get-Notifications operation (see
746 section 5). Therefore, there is no chance of "spam" notifications with this method.

747 Note: when a client stays connected to a Printer using the Event Wait Mode (see section 5.1.3) in
748 order to receive Event Notifications as they occur, such a client can close down the IPP connection at
749 any time, and so can avoid future unwanted Event Notifications at any time.

750 It is true that client has control about whether to ask for Event Notifications. However, if the client
751 subscribes to an event, and does a Get-Notifications request, the client gets all events for the
752 Subscription Object in the sequence number range (see section 5.1.2), not just the ones the client
753 wants. If a client subscribes to a Per-Printer Subscription job event, such as 'job-completed', and

754 someone then starts and cancels thousands of jobs, the client would have to receive these events in
755 addition to the ones the client is interested in. A client can protect itself better by subscribing to his
756 own jobs using a Per-Job Subscription, rather than creating a Per-Printer subscription whose Job
757 events apply to all jobs.

758 **18.4 Security requirements for Printers**

759 For the Get-Notifications operation defined in this document, the same Printer conformance
760 requirements apply for supporting and using Client Authentication, Server Authentication and
761 Operation Privacy as stated in [RFC2910] section 8 for all IPP operations.

762 **18.5 Security requirements for clients**

763 For the Get-Notifications operation defined in this document, the same client conformance
764 requirements apply for supporting and using Client Authentication, Server Authentication and
765 Operation Privacy as stated in [RFC2910] section 8 for all IPP operations.

766 **19 Contributors**

767 Carl Kugler and Harry Lewis contributed the basic idea of in-band "smart polling" coupled with
768 multiple responses for a single operation on the same connection, one response for each event as it
769 occurs. Without their continual persuasion, we would not have arrived at this Delivery Method
770 specification and would not have been able to agree on a single REQUIRED Delivery Method for IPP.

771 Carl Kugler
772 IBM
773 P.O. Box 1900
774 Boulder, CO 80301-9191
775
776 Phone:
777 Fax:
778 e-mail: kugler@us.ibm.com
779

780 **20 Authors' Addresses**

781 Robert Herriot
782 706 Colorado Ave.
783 Palo Alto, CA 94303
784
785 Phone: 650-327-4466
786 Fax: 650-327-4466
787 email: bob@herriot.com

788

789 Thomas N. Hastings
790 Xerox Corporation
791 737 Hawaii St. ESAE 231
792 El Segundo CA 90245
793
794 Phone: 310-333-6413
795 Fax: 310-333-5514
796 email: hastings@cp10.es.xerox.com

797

798 Harry Lewis
799 IBM
800 P.O. Box 1900
801 Boulder, CO 80301-9191
802
803 Phone: 303-924-5337
804 FAX:
805 e-mail: harryl@us.ibm.com

806

807

808 IPP Web Page: <http://www.pwg.org/ipp/>
809 IPP Mailing List: ipp@pwg.org

810

811 To subscribe to the ipp mailing list, send the following email:

- 812 1) send it to majordomo@pwg.org
- 813 2) leave the subject line blank
- 814 3) put the following two lines in the message body:
815 subscribe ipp
816 end

817

818 Implementers of this specification document are encouraged to join the IPP Mailing List in order to
819 participate in any discussions of clarification issues and review of registration proposals for additional
820 attributes and values. In order to reduce spam the mailing list rejects mail from non-subscribers, so
821 you must subscribe to the mailing list in order to send a question or comment to the mailing list.

822 **21 Description of Base IPP documents (Informative)**

823 The base set of IPP documents includes:

- 824 Design Goals for an Internet Printing Protocol [RFC2567]
- 825 Rationale for the Structure and Model and Protocol for the Internet Printing Protocol [RFC2568]
- 826 Internet Printing Protocol/1.1: Model and Semantics [RFC2911]
- 827 Internet Printing Protocol/1.1: Encoding and Transport [RFC2910]
- 828 Internet Printing Protocol/1.1: Implementer's Guide [RFC3196]
- 829 Mapping between LPD and IPP Protocols [RFC2569]

830

831 The "Design Goals for an Internet Printing Protocol" document takes a broad look at distributed
832 printing functionality, and it enumerates real-life scenarios that help to clarify the features that need to
833 be included in a printing protocol for the Internet. It identifies requirements for three types of users:
834 end users, operators, and administrators. It calls out a subset of end user requirements that are satisfied
835 in IPP/1.0. A few OPTIONAL operator operations have been added to IPP/1.1.

836

837 The "Rationale for the Structure and Model and Protocol for the Internet Printing Protocol" document
838 describes IPP from a high level view, defines a roadmap for the various documents that form the suite
839 of IPP specification documents, and gives background and rationale for the IETF working group's
major decisions.

840

841 The "Internet Printing Protocol/1.1: Model and Semantics" document describes a simplified model
842 with abstract objects, their attributes, and their operations that are independent of encoding and
843 transport. It introduces a Printer and a Job object. The Job object optionally supports multiple
documents per Job. It also addresses security, internationalization, and directory issues.

844

845 The "Internet Printing Protocol/1.1: Encoding and Transport" document is a formal mapping of the
846 abstract operations and attributes defined in the model document onto HTTP/1.1 [RFC2616]. It
847 defines the encoding rules for a new Internet MIME media type called "application/ipp". This
848 document also defines the rules for transporting over HTTP a message body whose Content-Type is
"application/ipp". This document defines the 'ipp' scheme for identifying IPP printers and jobs.

849

850 The "Internet Printing Protocol/1.1: Implementer's Guide" document gives insight and advice to
851 implementers of IPP clients and IPP objects. It is intended to help them understand IPP/1.1 and some
852 of the considerations that may assist them in the design of their client and/or IPP object
853 implementations. For example, a typical order of processing requests is given, including error
checking. Motivation for some of the specification decisions is also included.

854

855 The "Mapping between LPD and IPP Protocols" document gives some advice to implementers of
gateways between IPP and LPD (Line Printer Daemon) implementations.

856 22 Full Copyright Statement

857

Copyright (C) The Internet Society (2003). All Rights Reserved.

858

859 This document and translations of it may be copied and furnished to others, and derivative works that
860 comment on or otherwise explain it or assist in its implementation may be prepared, copied, published
861 and distributed, in whole or in part, without restriction of any kind, provided that the above copyright
862 notice and this paragraph are included on all such copies and derivative works. However, this
863 document itself may not be modified in any way, such as by removing the copyright notice or
864 references to the Internet Society or other Internet organizations, except as needed for the purpose of
865 developing Internet standards in which case the procedures for copyrights defined in the Internet
Standards process must be followed, or as required to translate it into languages other than English.

866

867 The limited permissions granted above are perpetual and will not be revoked by the Internet Society or
its successors or assigns.

868 This document and the information contained herein is provided on an "AS IS" basis and THE
869 INTERNET SOCIETY AND THE INTERNET ENGINEERING TASK FORCE DISCLAIMS ALL
870 WARRANTIES, EXPRESS OR IMPLIED, INCLUDING BUT NOT LIMITED TO ANY
871 WARRANTY THAT THE USE OF THE INFORMATION HEREIN WILL NOT INFRINGE ANY
872 RIGHTS OR ANY IMPLIED WARRANTIES OF MERCHANTABILITY OR FITNESS FOR A
873 PARTICULAR PURPOSE.

874 **Acknowledgement**

875

876 Funding for the RFC Editor function is currently provided by the Internet Society.